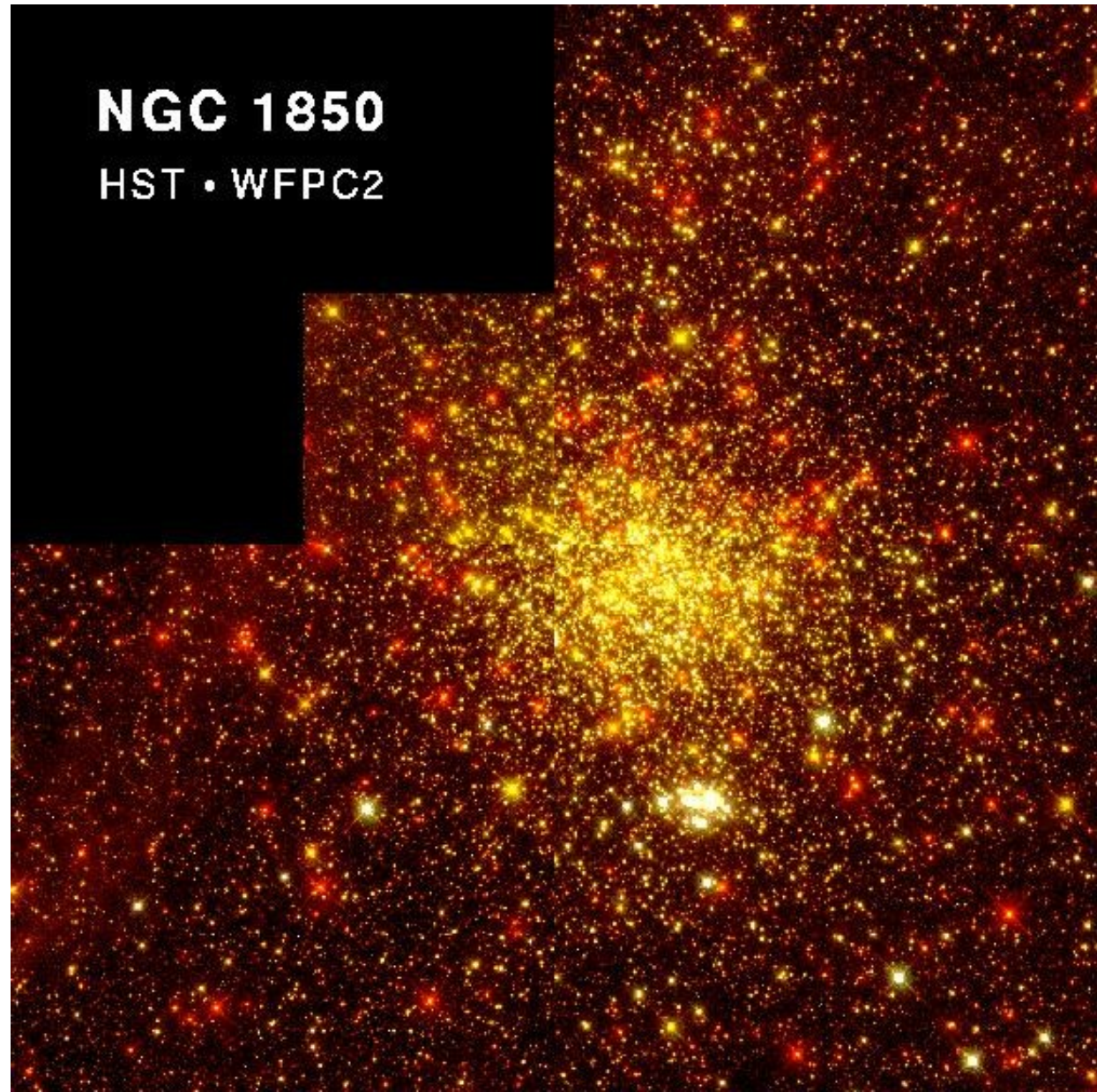


# The Classification of Stellar Spectra

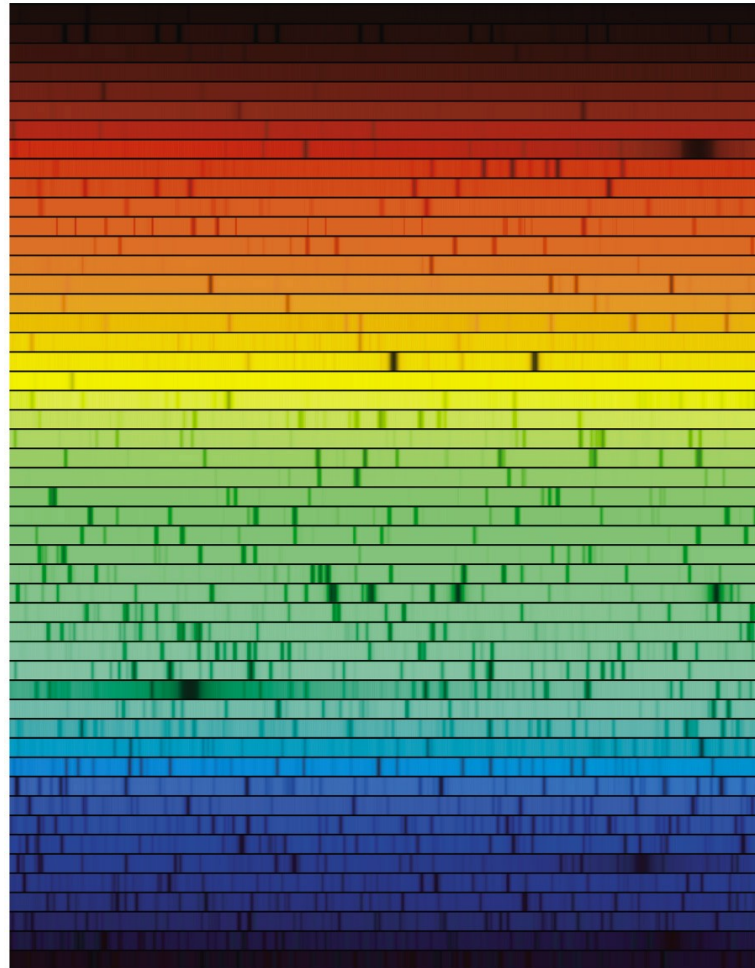
## Chapter 8

Star Clusters in the  
Large Magellanic  
Cloud



# The Classification of Stellar Spectra

## Chapter 8



# The Classification of Stellar Spectra

- Classification scheme developed before the physics
- Parameters that could be used to classify stars
  - Apparent brightness (bad idea)
  - Luminosity (Intrinsic brightness)
  - Temperature (Color)
  - Spectra (absorption lines)
  - Mass (only for binaries)

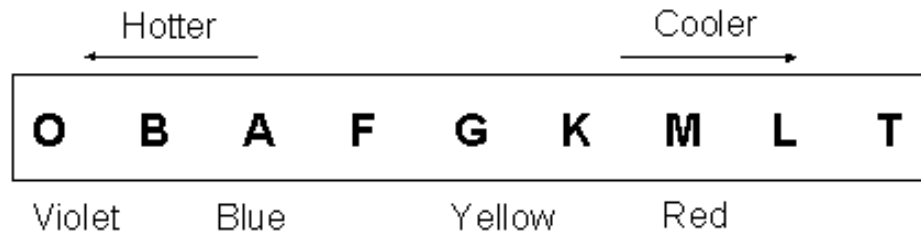
The “Computers” of the Harvard  
College Observatory



- The Henry Draper Catalogue
  - Contained >100,000 spectral classifications from A.J. Cannon and others from Harvard
  - Used OBAFGKM

# The Classification of Stellar Spectra

- Originally organized by strength of H Balmer lines (A,B,...).
- Atomic physics allowed connection to temperature to be made.
- Spectral Types:



Early type → late type

L and T are more modern additions – Brown Dwarfs.  
R N S also used after M.

- Subdivisions in tenths: 0 → 9 (early → late, hot → cool) within a Spectral Type). E.g., A0 is hotter than A5.
- The Sun is a G2 – an early G-type star
  - G – yellow star (continuum peak in green/yellow)
    - H lines weak
    - Ca II (singly ionized) lines continue becoming stronger
    - Fe I, other *neutrals* metal lines become stronger

# O to G example

O = HeII strongest, HeI increases from O0 to O9

B = HeI strongest at B2, HI (Balmer) strengthen from B0 to B9

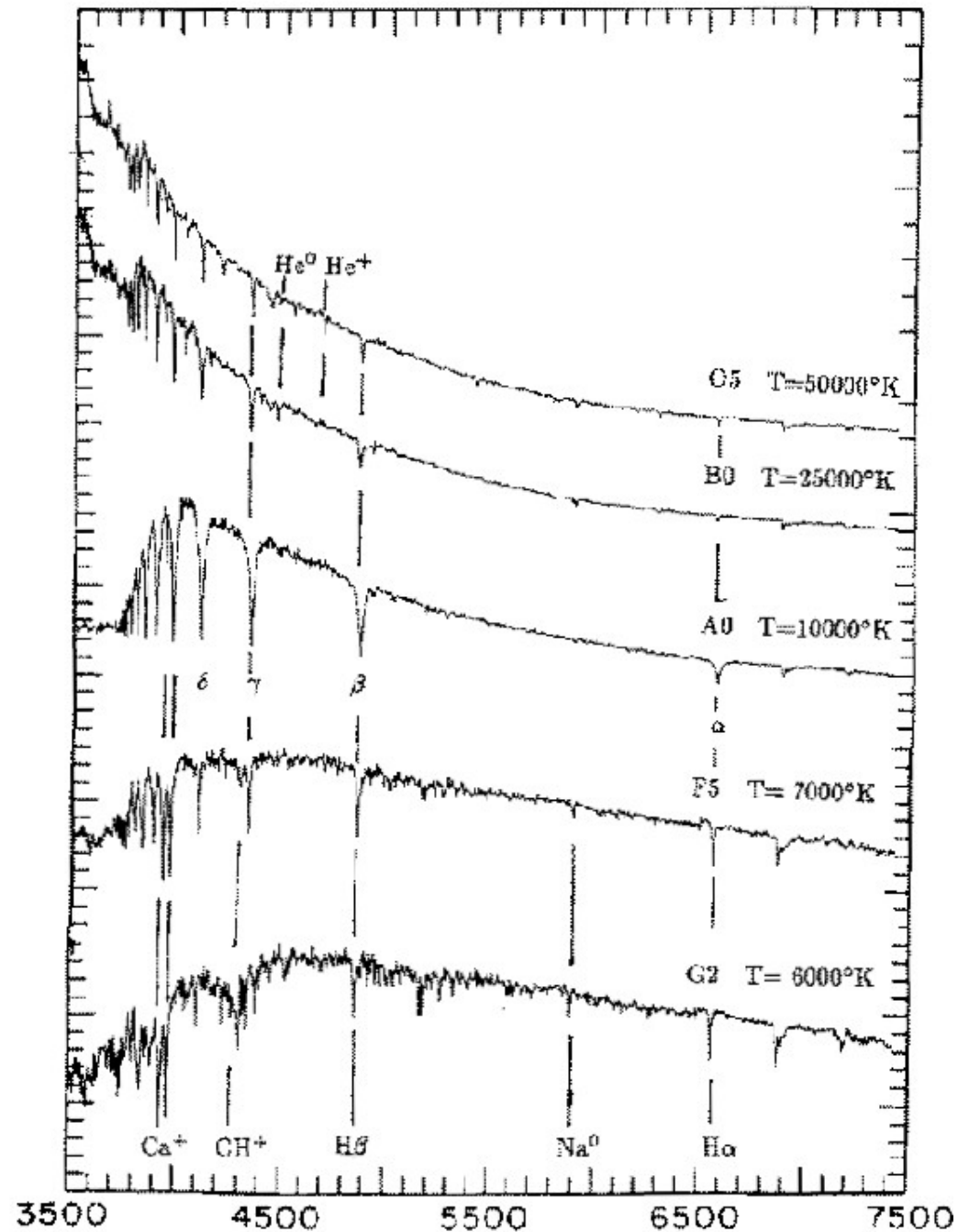
A = HI (Balmer) strongest at A0

F = HI weakening, CaII strengthen from F0 to F9. FeI and Cr I present.

G = HI weak while CaII and FeI strengthen

K = CaII peak at K0, lots of neutral metals

M =





# G to M example

G = HI weak while CaII and FeI strengthen

K = CaII peak at K0, lots of neutral metals

M = TiO, VO and other molecular abs lines dominate.

Neutral metals remain.

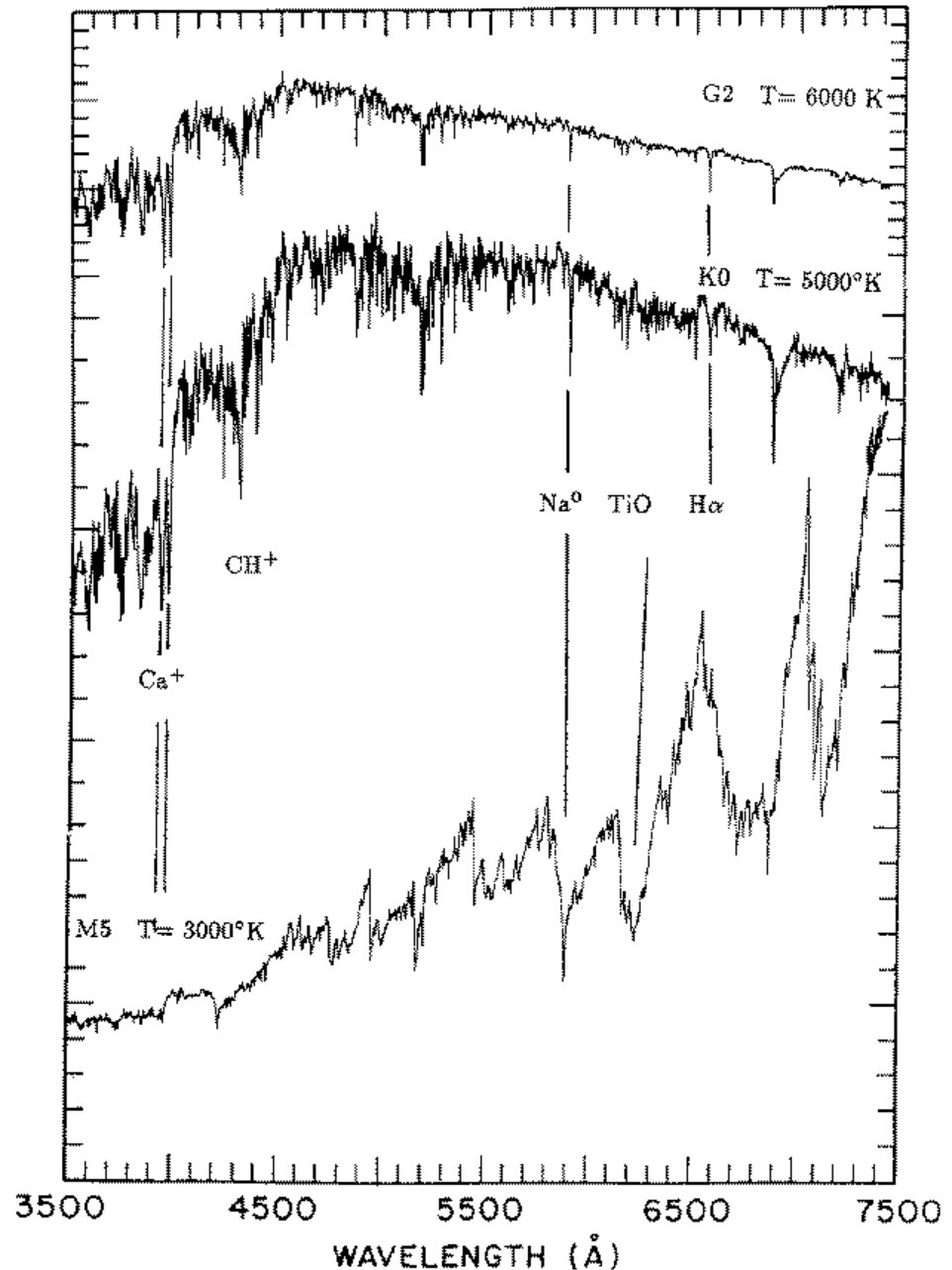
L = TiO and VO weaker but other molecular bands stronger (CrH, FeH, H<sub>2</sub>O, CO). Also

Alkali metals Na, K, Rb, Cs.

Temp = 1300-2500 K.

T = Strong methane (CH<sub>4</sub>)

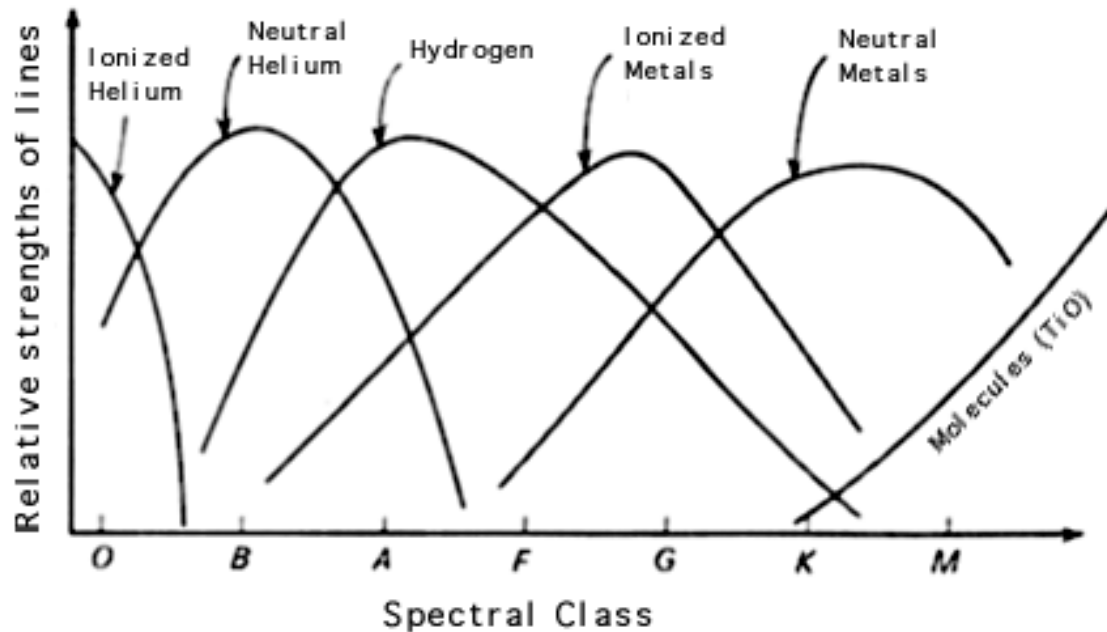
weakening CO. Temp < 1300 K.



# The Formation of Spectral Lines

- Question: What causes the differences in the observed spectra??
  - [Absorption by intervening material. Earth's atmos., ISM.]
  - Composition
  - Temperature
  - Surface gravity / pressure
- Answer:
  - Temperature is the main factor

## Balmer Thermometer



# The Formation of Spectral Lines

- Big Question of Ch.8: Why are the H balmer lines strongest for A stars, which seem to have  $T_{\text{surf}} = 10,000\text{K}$ ?
- To find answer:

Need Ch.5's info about the Bohr atom ... energy levels ( $n$ ) and states  $l, m_l, m_s$ .

- Need Kirchoff's laws  $\rightarrow$  our gas is the upper “atmosphere” of the star.
- Need statistical mechanics – to find probability that particles are in a given state. Large numbers of particles involved!



# The Formation of Spectral Lines

- Distribution of electrons in different atomic orbitals depends on temperature
- Electrons can jump up in energy by absorption of a photon OR collision with a particle! So KE of surrounding particles important.
- Maxwell-Boltzmann velocity distribution
  - Tells us what fraction of particles are in a velocity range
  - Assumes thermal equilibrium
  - Number of gas particles per unit volume have a speed between  $v$  and  $v+dv$

$$n_v dv = n \left( \frac{m}{2\pi kT} \right)^{\frac{3}{2}} e^{-\frac{1}{2}mv^2/kT} 4\pi v^2 dv$$

# Maxwell-Boltzmann Distribution

$$n_v dv = n \left( \frac{m}{2\pi kT} \right)^{\frac{3}{2}} e^{-\frac{1}{2}mv^2/kT} 4\pi v^2 dv$$

- Most probable speed

$$v_{mp} = \sqrt{\frac{2kT}{m}} = 1.4 \sqrt{kT/m}$$

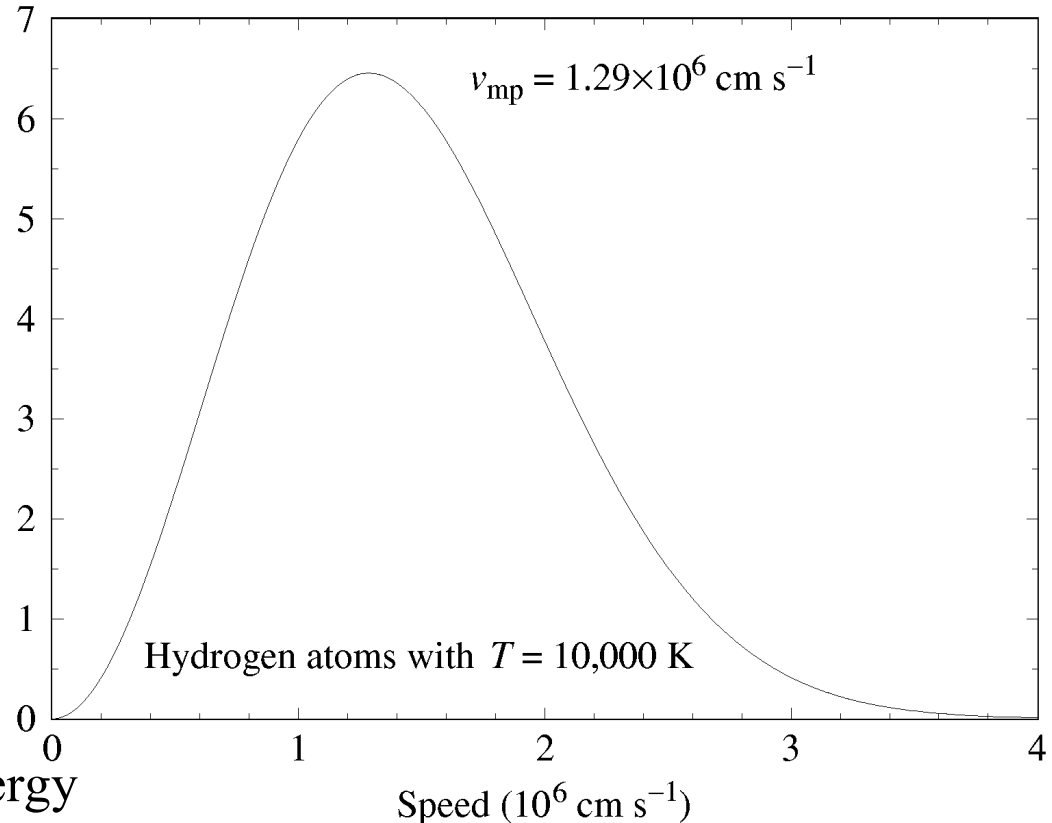
- Root-mean-square

$$v_{rms} = \sqrt{\frac{3kT}{m}} = 1.73 \sqrt{kT/m}$$

- Average

$$v_{avg} = \sqrt{\frac{8kT}{\pi m}} = 1.6 \sqrt{kT/m}$$

- Collisional energy causes a distribution of electrons among the atomic orbitals (Kinetic Energy  $\rightarrow$  Potential Energy)



# Boltzmann Factor

- The higher the energy of a state, the less likely it will be occupied

$$P_a \propto e^{\frac{-E_a}{kT}}$$

- For the Maxwell-Boltzmann distribution, the energy is Kinetic Energy

$$P_v \propto e^{-\frac{1}{2}mv^2/kT}$$

- The “ $kT$ ” term is associated with the thermal energy of the “gas” as a whole
- Ratio of Probabilities for two different states (and energies)

$$\frac{P_b}{P_a} = \frac{e^{\frac{-E_b}{kT}}}{e^{\frac{-E_a}{kT}}} = e^{\frac{-(E_b - E_a)}{kT}}$$

# Degeneracy Factor

- An energy (eigenvalue) is associated with each set of quantum numbers (eigenstate or eigenfunction)
- *Degenerate States* have different quantum numbers but the same energy

- Modify the Boltzmann factor 
$$P_a \propto g_a e^{-\frac{E_a}{kT}}$$
  - The probability of being in any of the  $g_a$  degenerate states with energy  $E_a$ 
    - $g_a$  is the degeneracy or statistical weight of state  $a$

- Ratio of probabilities between states with two different energies

$$\frac{P_b}{P_a} = \frac{g_b}{g_a} e^{-\frac{(E_b - E_a)}{kT}}$$

# Degeneracy Factor

- Details of quantum mechanics determines the energies and quantum numbers...
- Visit the following site on the next page and browse...
- Quantum numbers for Hydrogen  $\{n, l, m_l, m_s\}$ 
  - Table 8.2

| State | $n$<br>Principal quantum number $n$ | $l$<br>Orbital quantum number | $m_l$<br>Magnetic quantum number | $m_s$<br>Spin quantum number | Maximum number of electrons |
|-------|-------------------------------------|-------------------------------|----------------------------------|------------------------------|-----------------------------|
| 1s    | 1                                   | 0                             | 0                                | $+\frac{1}{2}, -\frac{1}{2}$ | 2                           |
| 2s    | 2                                   | 0                             | 0                                | $+\frac{1}{2}, -\frac{1}{2}$ | 2                           |
| 2p    | 2                                   | 1                             | -1, 0, +1                        | $+\frac{1}{2}, -\frac{1}{2}$ | 6                           |
| 3s    | 3                                   | 0                             | 0                                | $+\frac{1}{2}, -\frac{1}{2}$ | 2                           |
| 3p    | 3                                   | 1                             | -1, 0, +1                        | $+\frac{1}{2}, -\frac{1}{2}$ | 6                           |
| 3d    | 3                                   | 2                             | -2, -1, 0, 1, 2                  | $+\frac{1}{2}, -\frac{1}{2}$ | 10                          |

$\left. \begin{array}{l} 2 \\ 2 \\ 6 \end{array} \right\} 8$   
 $\left. \begin{array}{l} 2 \\ 6 \\ 10 \end{array} \right\} 18 = 2n^2$

# Boltzmann Equation

- Number of atoms in a particular state  $a$

$$N_a = NP_a$$

$N$  = total number of atoms

$N_a$  = number of atoms in state  $a$

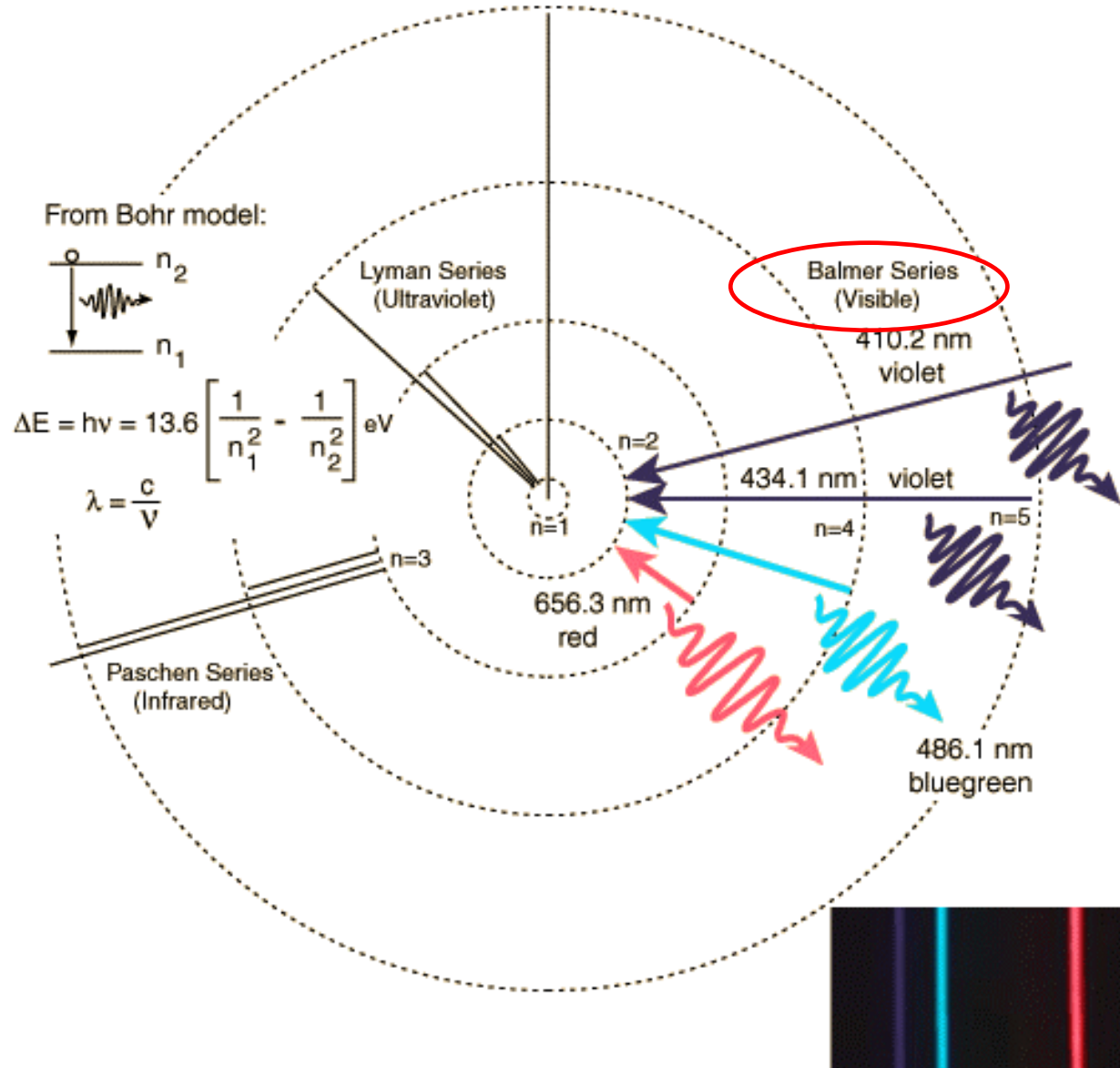
$P_a$  = probability of being in state  $a$

$$\Rightarrow \frac{N_b}{N_a} = \frac{g_b}{g_a} e^{-\frac{(E_b - E_a)}{kT}}$$

[Hydrogen Atom Examples](#)

# Hydrogen Atom

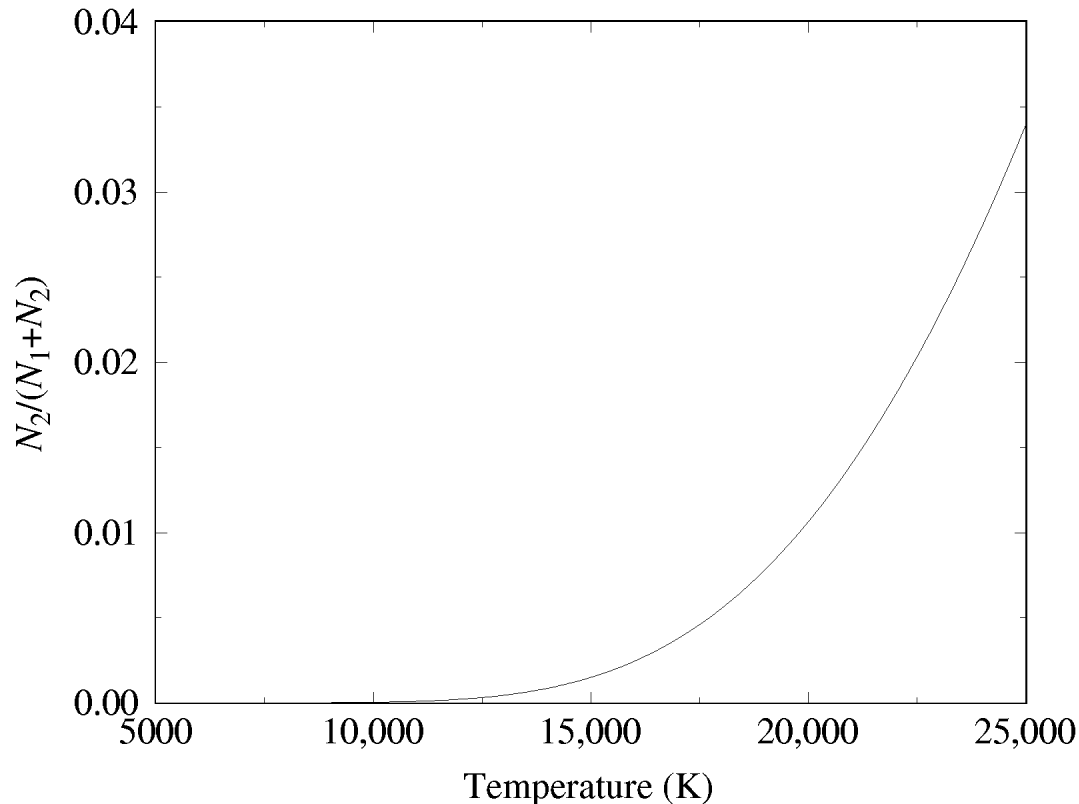
- Balmer series absorption spectra is an upward transition from  $n = 2$
- Observation: this series has a peak absorption spectrum at  $\sim 9520$  K.





# Hydrogen Atom Populations

- We just saw that not many Hydrogen atoms are in the  $n=1$  state at 9520 K!
  - Shouldn't the intensity keep growing as the temperature increases since there is a higher probability for an H atom to be in the  $n=2$  state?!?!



# Partition Function

- We also have to figure in all states that have a significant population

- For one state we have:  $P_1 \propto g_1 e^{\frac{-E_1}{kT}}$

- Ratio between two states:  $\frac{P_2}{P_1} = \frac{g_2 e^{\frac{-E_2}{kT}}}{g_1 e^{\frac{-E_1}{kT}}} = \frac{g_2}{g_1} e^{\frac{-(E_2 - E_1)}{kT}}$

- Ratio of state 2 to *all* other states with reference to the ground state:

$$\frac{P_2}{P_{all}} = \frac{g_b e^{\frac{-(E_2 - E_1)}{kT}}}{g_1 e^{\frac{-(E_1 - E_1)}{kT}} + g_2 e^{\frac{-(E_2 - E_1)}{kT}} + g_3 e^{\frac{-(E_3 - E_1)}{kT}} + \dots} = \frac{g_2 e^{\frac{-(E_2 - E_1)}{kT}}}{Z}$$

# Partition Function

- This tell us how many states are accessible or available at a given temperature (thermal energy)

$$Z = g_1 e^{\frac{-(E_1 - E_1)}{kT}} + g_2 e^{\frac{-(E_2 - E_1)}{kT}} + g_3 e^{\frac{-(E_3 - E_1)}{kT}} + \dots$$
$$= g_1 + \sum_i g_i e^{\frac{-(E_i - E_1)}{kT}}$$

- The higher the temperature, the more states that are available
- At zero K, everything will be in the ground state
  - Bose-Einstein Condensates

# Partition Function and Atoms

- We also have to handle ionization!
- Nomenclature: H I – neutral hydrogen

H II – singly ionized hydrogen

He I – neutral Helium

He II – singly ionized Helium

He III – doubly ionized Helium

- Ionization Energy for H I to H II

$$\chi_I = 13.6 \text{ eV}$$

- Rather than  $N_2/N_1 \rightarrow \infty$ , the atom will ionize before this happens

# Saha Equation

- Determines the ratio of numbers of ionized atoms
- Need distinct partition functions since energy levels of atoms are different for different ionization stages
  - $Z_i$  is the initial stage of ionization
  - $Z_{i+1}$  is the final stage of ionization
- Ratio of the number of atoms in each of these stages

$$\frac{N_{i+1}}{N_i} = \frac{2Z_{i+1}}{n_e Z_i} \left( \frac{2\pi m_e kT}{h^2} \right)^{\frac{3}{2}} e^{-\chi_i/kT}$$

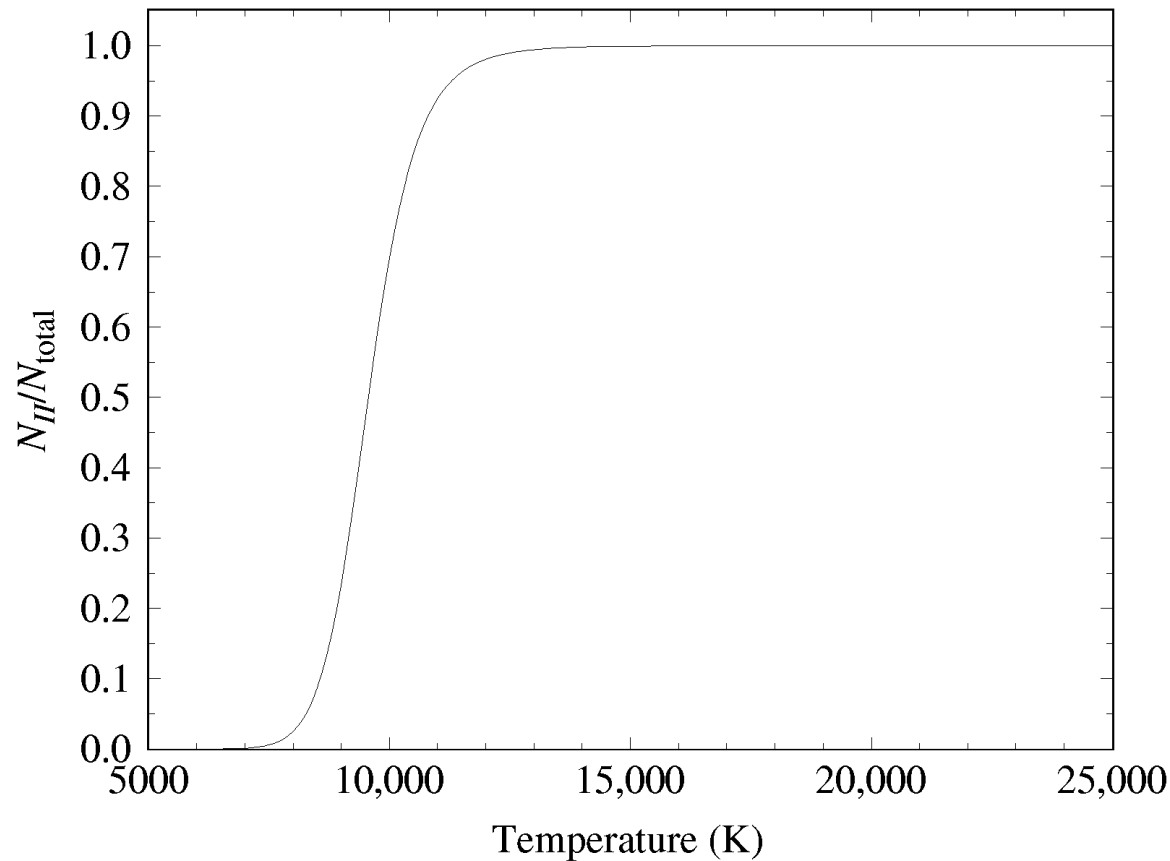
- $n_e$  is the electron density (an ideal gas of electrons)

- Electron pressure  $P_e = n_e kT$

- Electrons recombine with H II to give H I

# Ionized Hydrogen Atoms

- Fraction of hydrogen atoms that are ionized
- If we have H II, we can't have the Balmer series!

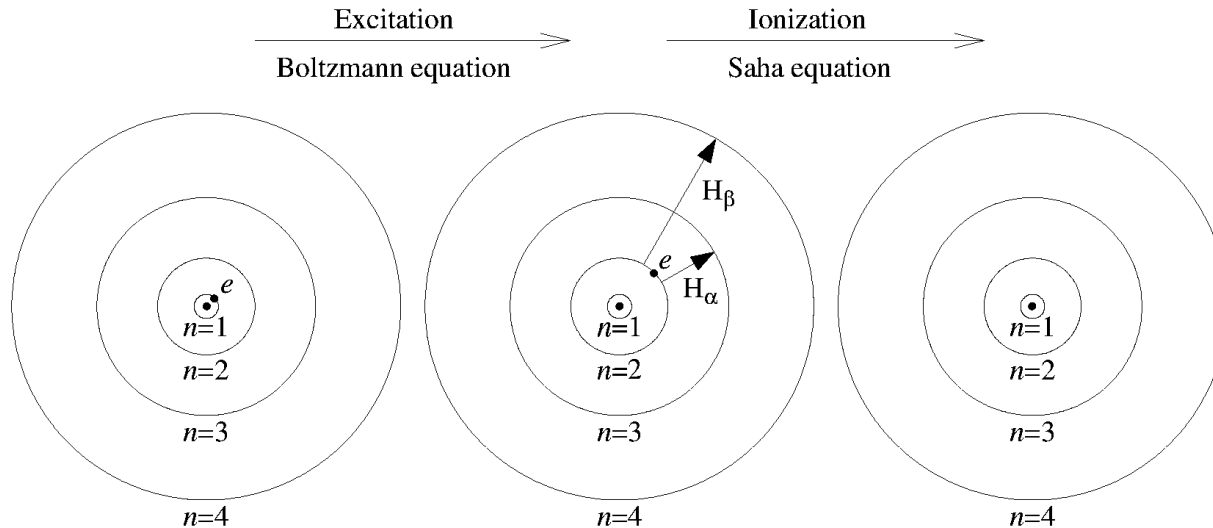


# H I $n = 2$ population

$$\frac{N_2}{N_{total}} = \left( \frac{N_2}{N_I + N_2} \right) \left( \frac{N_I}{N_I + N_{II}} \right)$$

Fraction of non-ionized hydrogen  
Atoms in the  $n = 2$  state

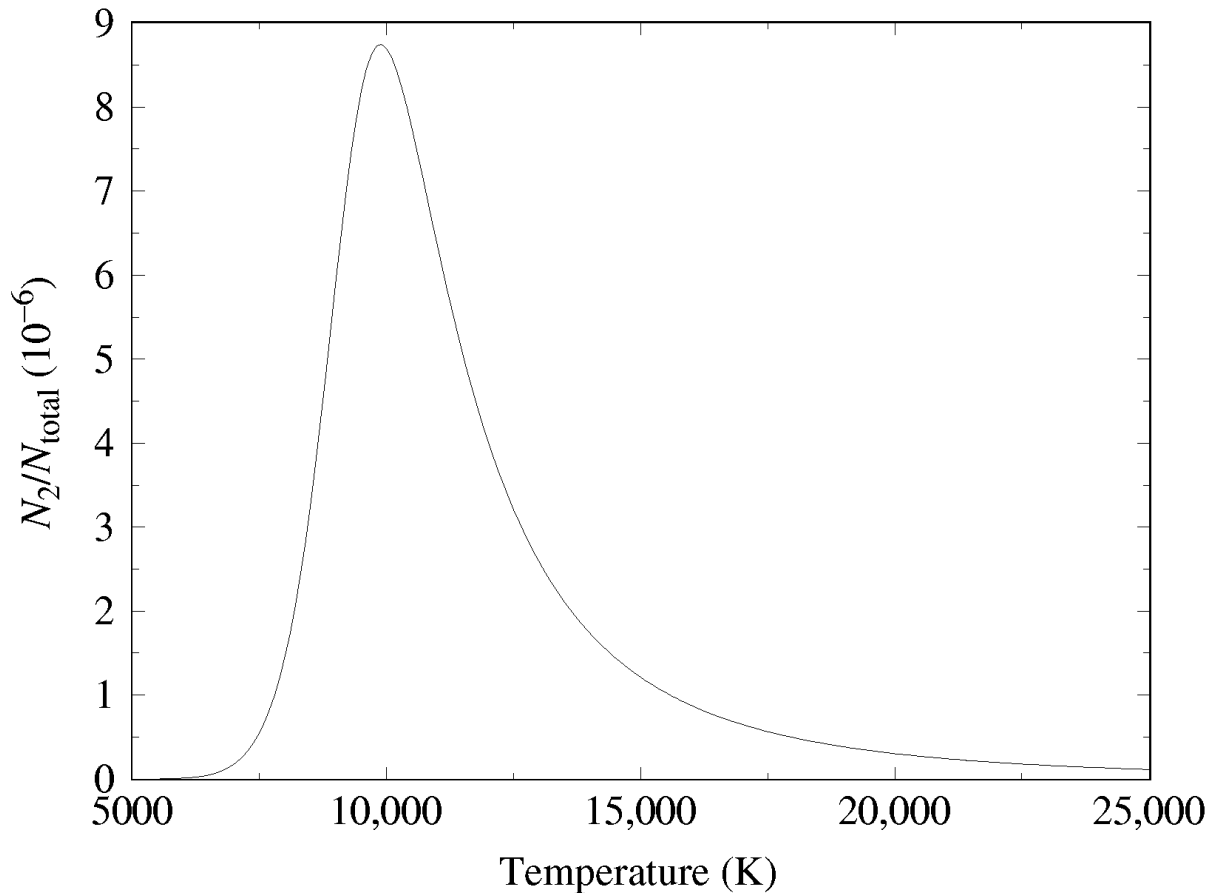
Fraction of non-ionized  
hydrogen atoms





# H I $n = 2$ population

- Includes the Boltzmann factor, partition function and ionization
- Population peak at 9520 K, in agreement with observation of the Balmer series



# Example 8.3

- Degree of ionization in a stellar atmosphere of pure hydrogen for the temperature range of 5000-25000 K  $\frac{N_{II}}{N_{Total}}$

- Given electron pressure  $P_e = 200 \frac{\text{dyne}}{\text{cm}^2} = 20 \text{ N/m}^2$

- Saha equation 
$$\frac{N_{II}}{N_I} = \frac{2kTZ_{II}}{P_e Z_I} \left( \frac{2\pi m_e kT}{h^2} \right)^{\frac{3}{2}} e^{-\chi_i/kT}$$

- Must determine the partition functions

- Hydrogen ion is a proton, so  $Z_{II} = 1$

$$T := 5000\text{K}$$

- Neutral hydrogen over this temp range

$$k \cdot T = 0.43 \text{ eV}$$

$$\Delta E = E_2 - E_1 = 10.2 \text{ eV}$$

$$\Delta E \gg kT, \text{ then } e^{-\Delta E/kT} \ll 1$$

$$T := 25000\text{K}$$

$$k \cdot T = 2.15 \text{ eV}$$

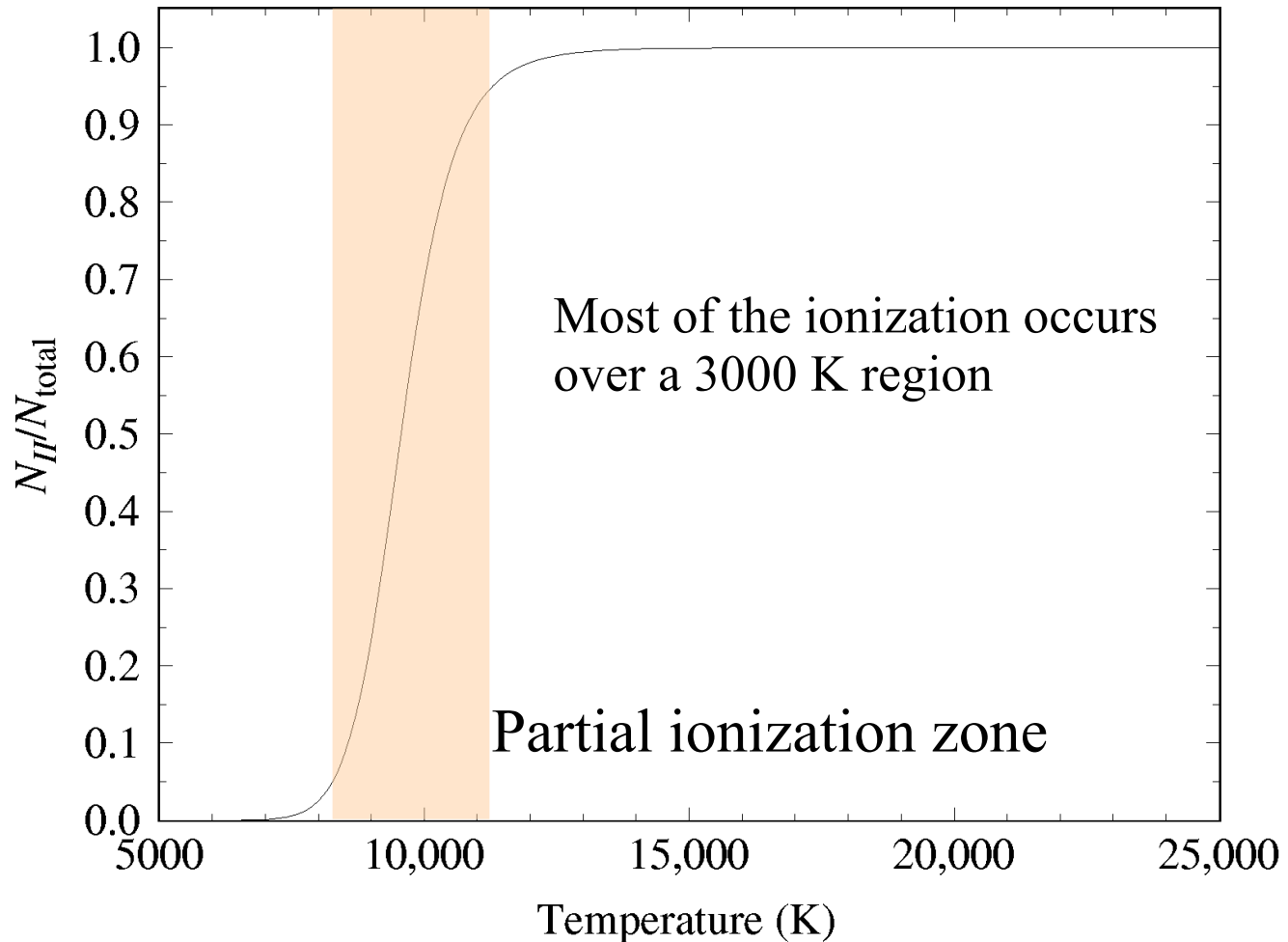
$$\Rightarrow Z_I = g_1 + \sum_i g_i e^{\frac{-(E_i - E_1)}{kT}} \quad g_1 = 2$$

# Example 8.3

- Degree of Ionization

$$\frac{N_{II}}{N_I} = \frac{2kT(1)}{P_e(2)} \left( \frac{2\pi m_e kT}{h^2} \right)^{\frac{3}{2}} e^{-\chi_i kT}$$

$$\frac{N_{II}}{N_I + N_{II}} = \frac{N_{II}/N_I}{1 + N_{II}/N_I}$$



# Problem 8.7

- Evaluate the first three terms of the partition function for 10000K

Partition Function: Counting the first ten states...    Energy:  $E(n) := \frac{-13.6\text{eV}}{n^2}$     Degeneracy:  $g(n) := 2 \cdot n^2$

$$f_{\text{B}}(n, T) := \exp\left[\frac{-(E(n) - E(1))}{k \cdot T}\right]$$

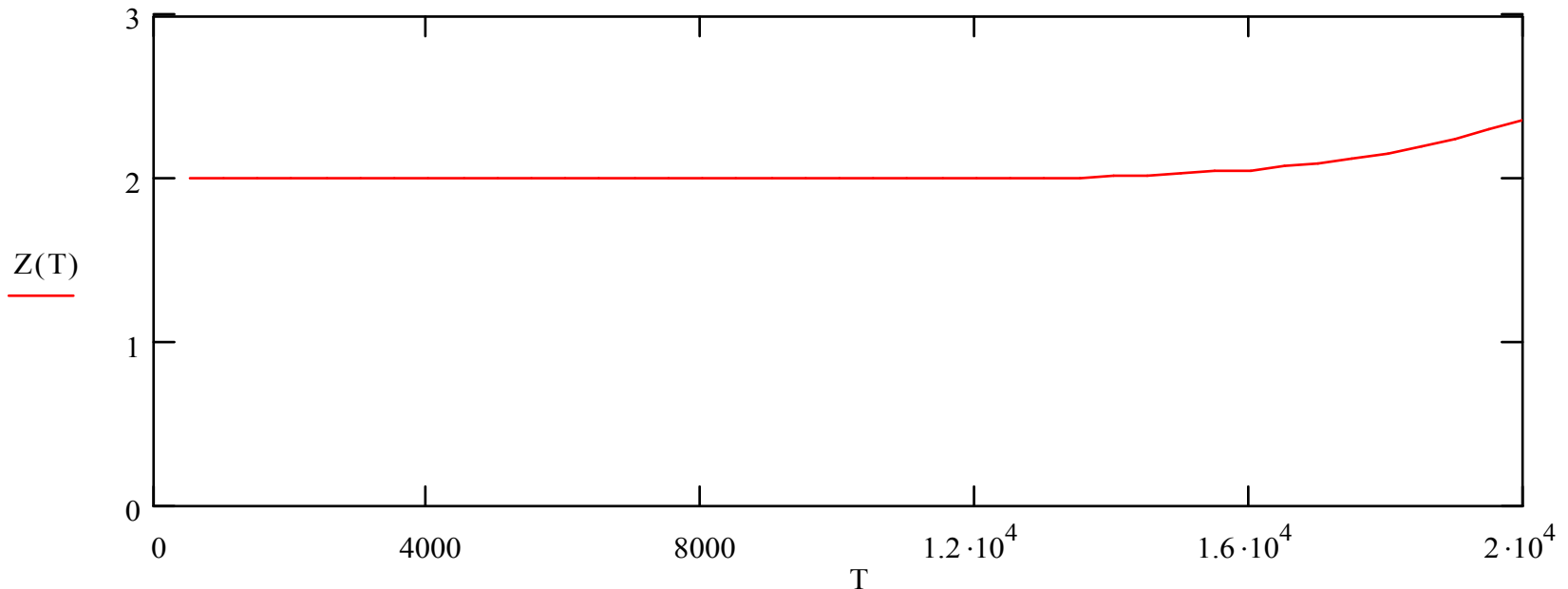
$$Z(T) := \sum_{n=1}^{10} (g(n) \cdot f_{\text{B}}(n, T))$$

$$T := 0, 500.. 20000$$

$$Z(6000\text{K}) = 2.0000$$

$$Z(10000\text{K}) = 2.0002$$

$$Z(15000\text{K}) = 2.0292$$



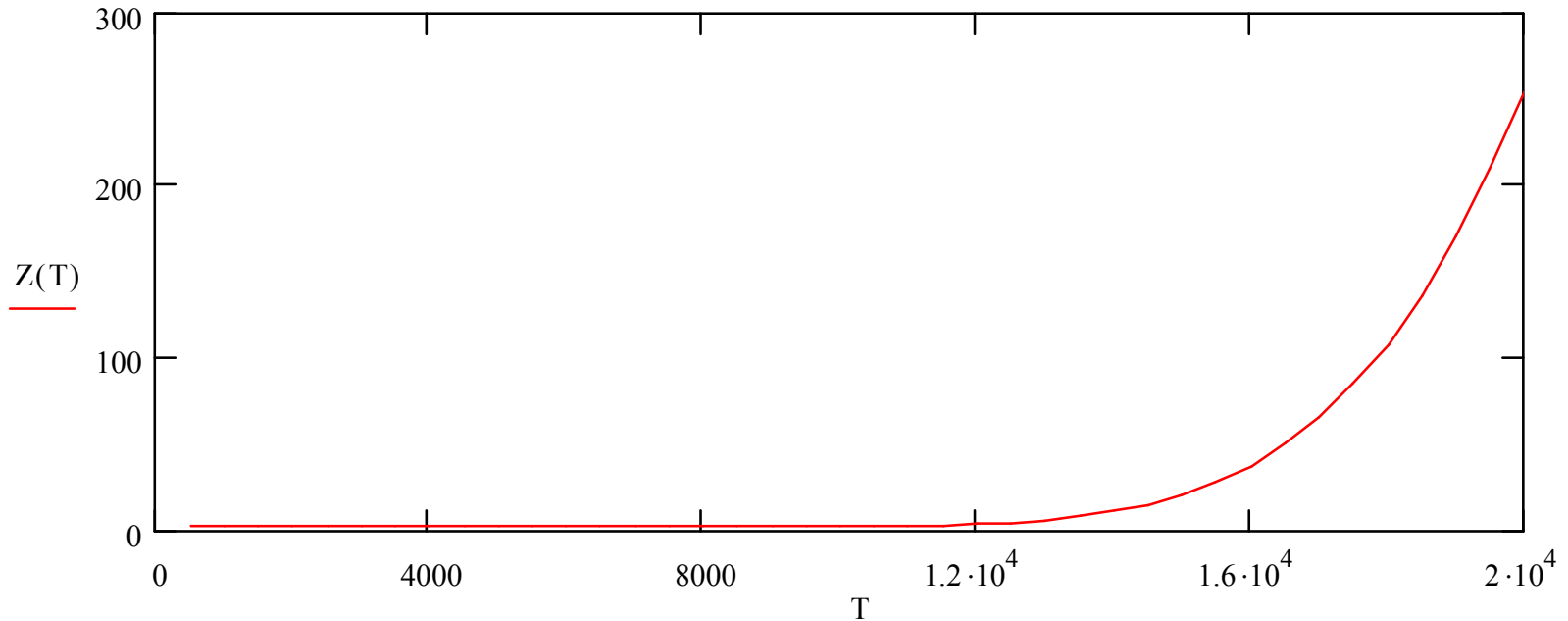
# Problem 8.8

- The partition function diverges at  $n \rightarrow \infty$ 
  - Why do we ignore large  $n$ ?

Partition Function: Counting the first 100 states...    Energy:  $E(n) := \frac{-13.6\text{eV}}{n^2}$     Degeneracy:  $g(n) := 2 \cdot n^2$

$$f_{\text{B}}(n, T) := \exp\left[\frac{-(E(n) - E(1))}{k \cdot T}\right] \quad Z(T) := \sum_{n=1}^{100} (g(n) \cdot f_{\text{B}}(n, T)) \quad T := 0, 500.. 20000$$

$$Z(6000\text{K}) = 2.0000 \quad Z(10000\text{K}) = 2.0952 \quad Z(15000\text{K}) = 20.2988$$



# Problem 8.8

Partition Function: Counting the first 1000 states... Energy:  $E(n) := \frac{-13.6\text{eV}}{n^2}$

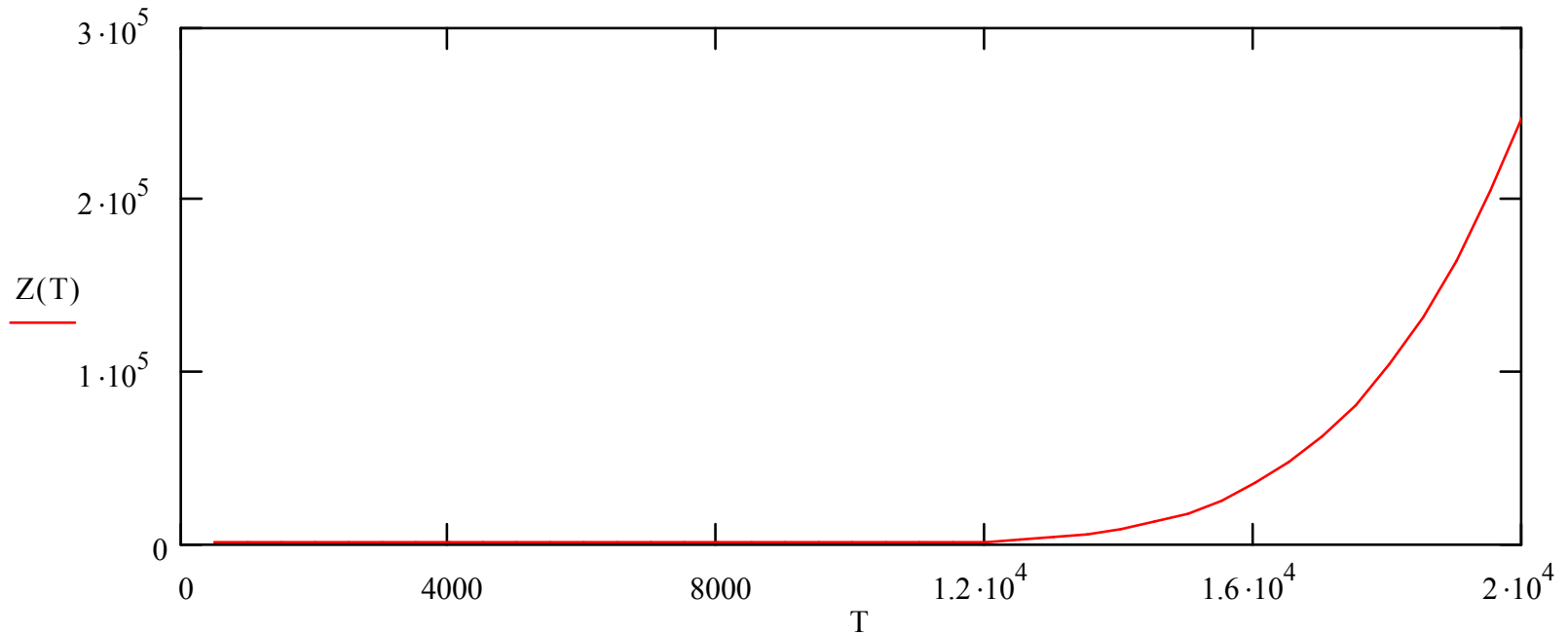
Degeneracy:  $g(n) := 2n^2$

$$f_{\text{B}}(n, T) := \exp\left[\frac{-(E(n) - E(1))}{k \cdot T}\right]$$

$$Z(T) := \sum_{n=1}^{1000} (g(n) \cdot f_{\text{B}}(n, T))$$

$T := 0, 500.. 20000$

$$Z(6000\text{K}) = 2.0025 \quad Z(10000\text{K}) = 95.4311 \quad Z(15000\text{K}) = 1.7998 \times 10^4$$

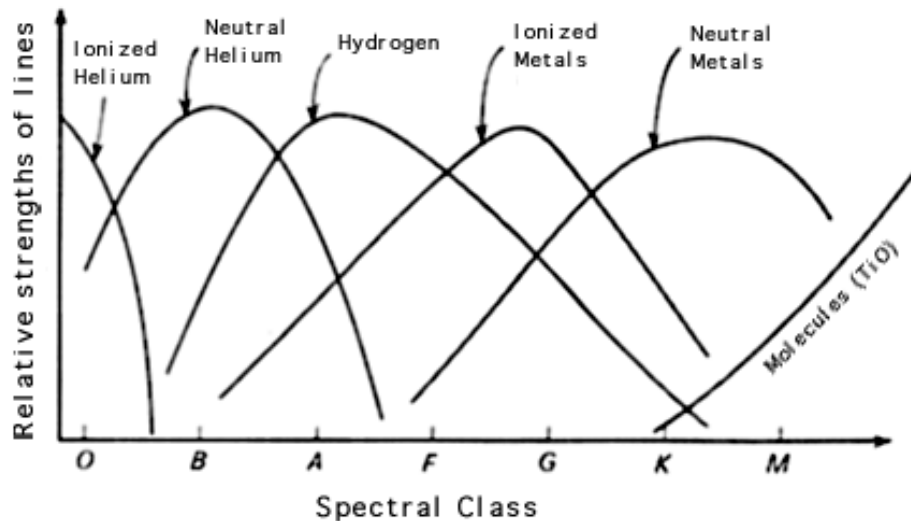


- Ionization

- Unphysical orbital size  $r_n = a_o n^2$

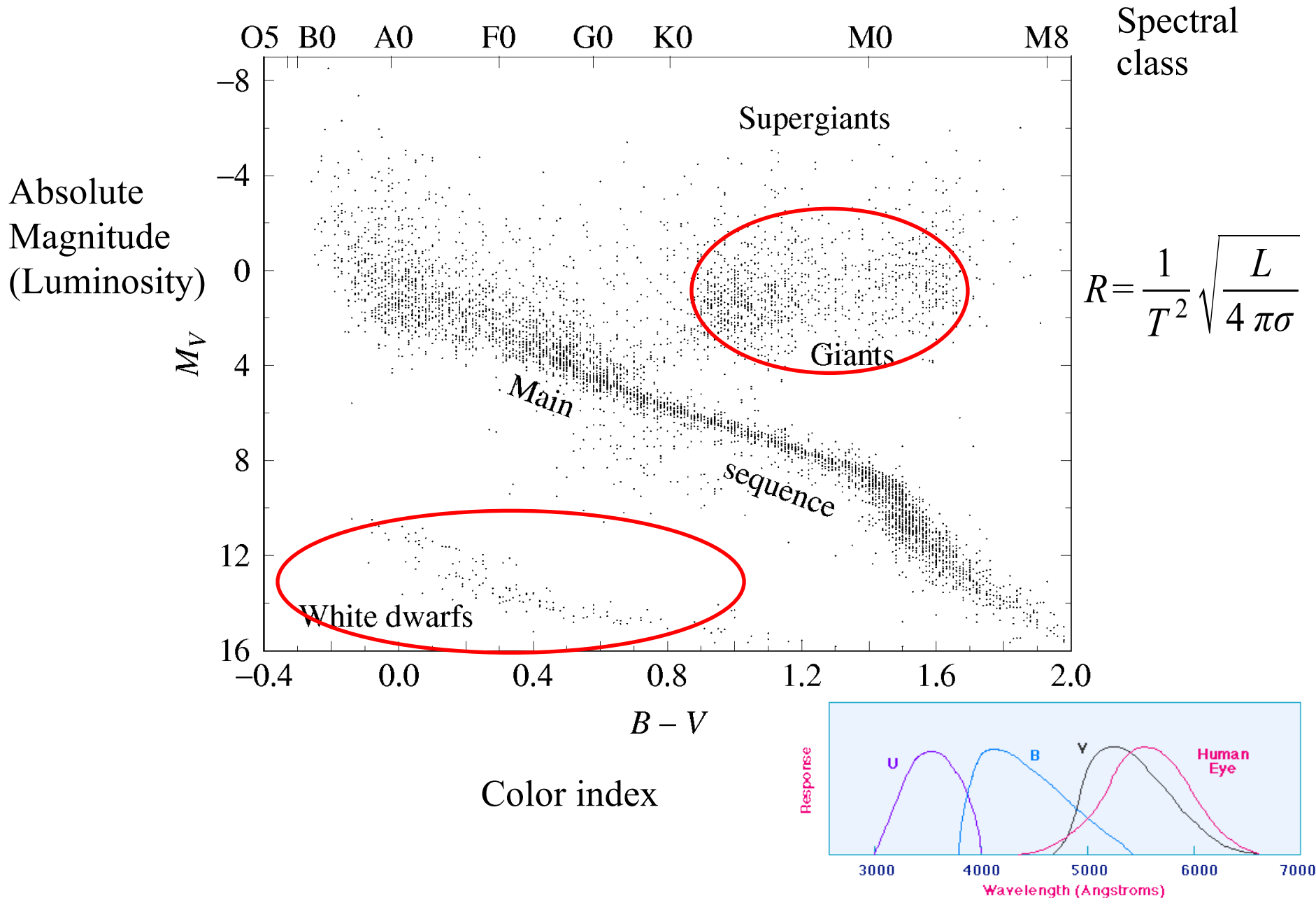
# Example 8.4

- Surface of the Sun has 500,000 hydrogen atoms per calcium atom, but calcium absorption lines are much stronger than the Balmer series lines.
- The Boltzmann and Saha equations reveal that there are  $400\times$  more Ca atoms in the ground electronic state than in the  $n=2$  hydrogen state.
- Calcium is not more abundant
- Differences are due to sensitive temperature dependence

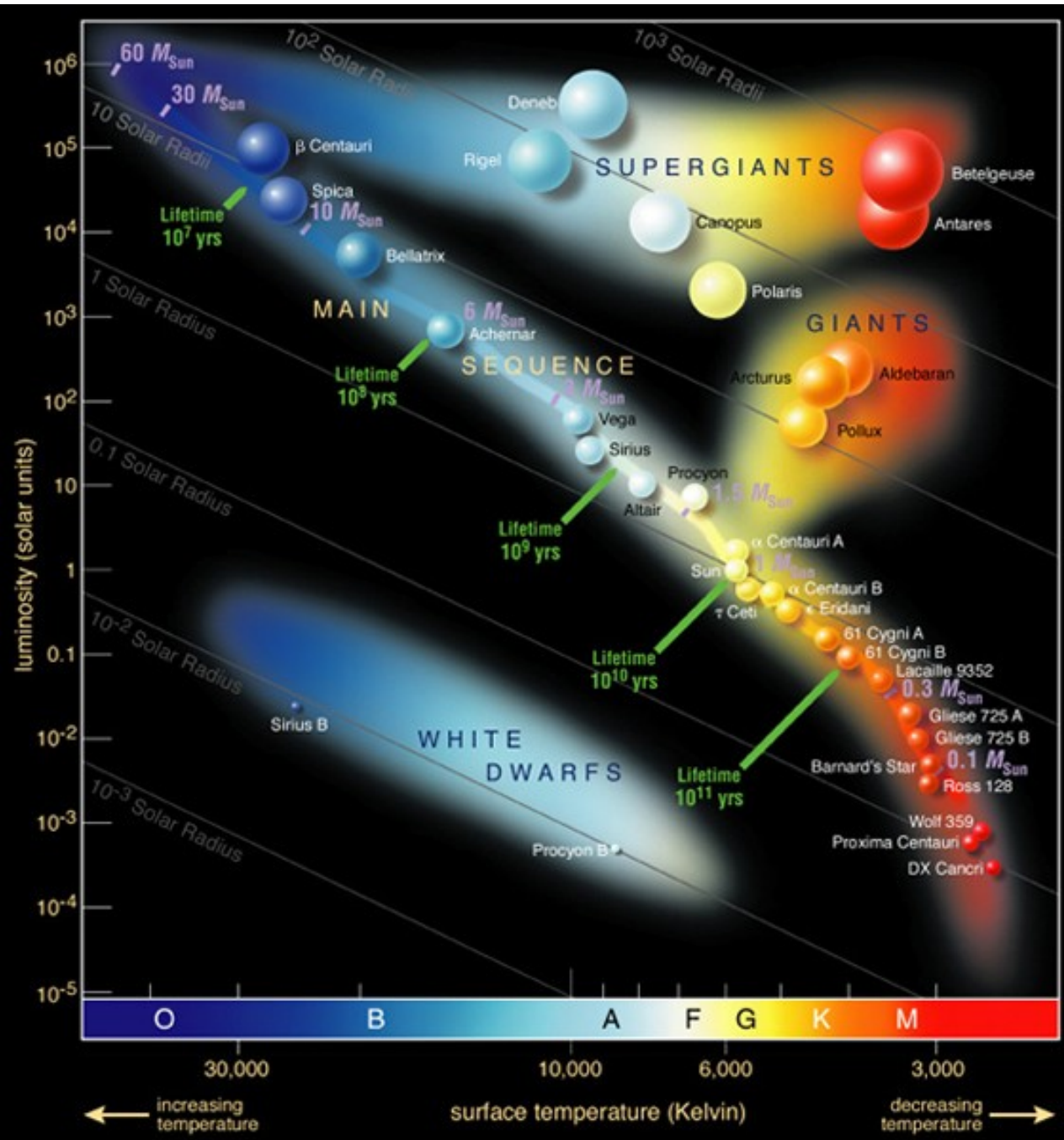




# Hertzsprung-Russell (H-R) Diagram



# A colorful H-R Diagram



Betelgeuse

Antares

Sun (1 pixel)  
Jupiter is invisible at this scale  
Sirius Pollux Arcturus



Rigel



Aldebaran

Jupiter

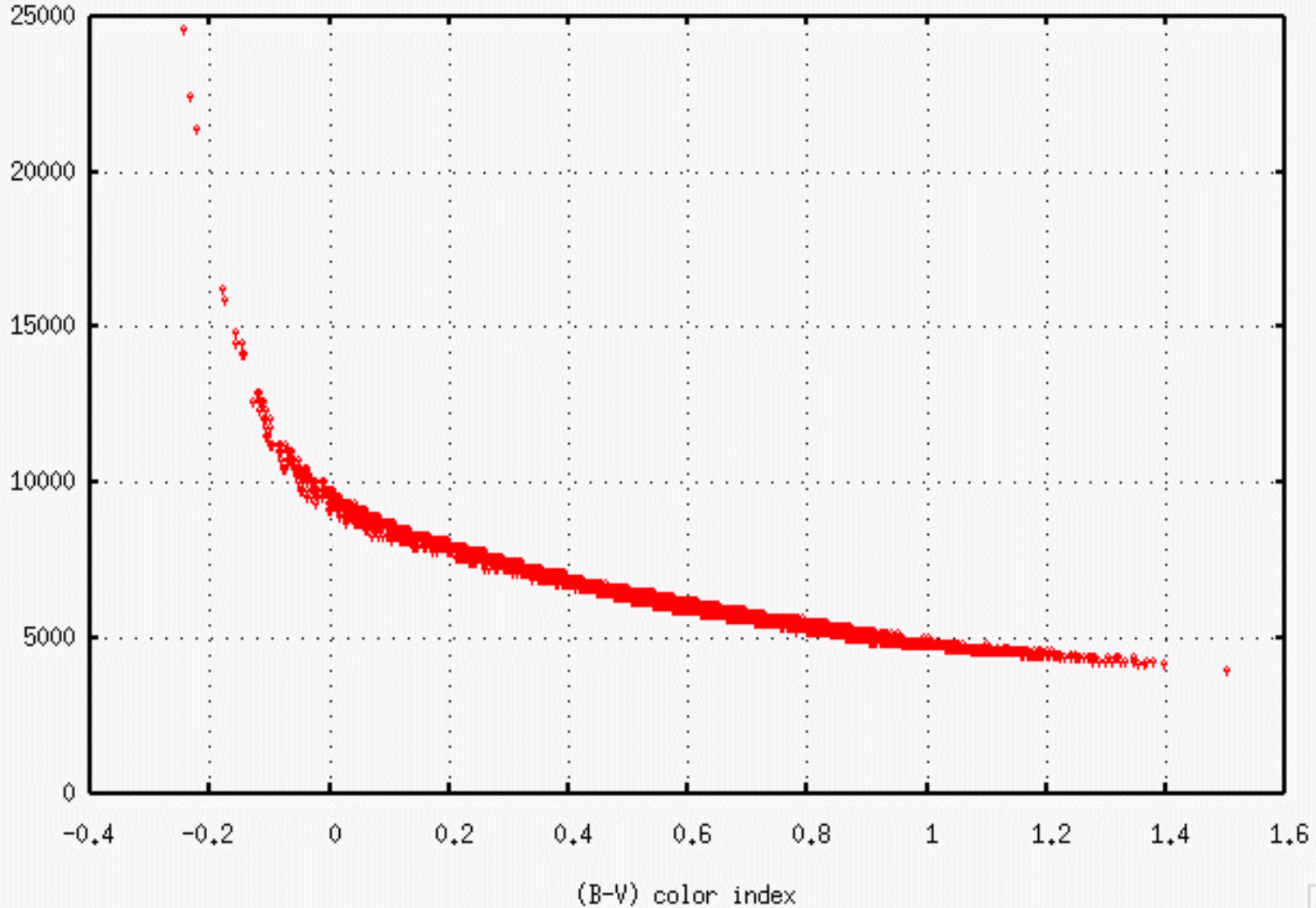


Pluto

# How temperature relates to color index

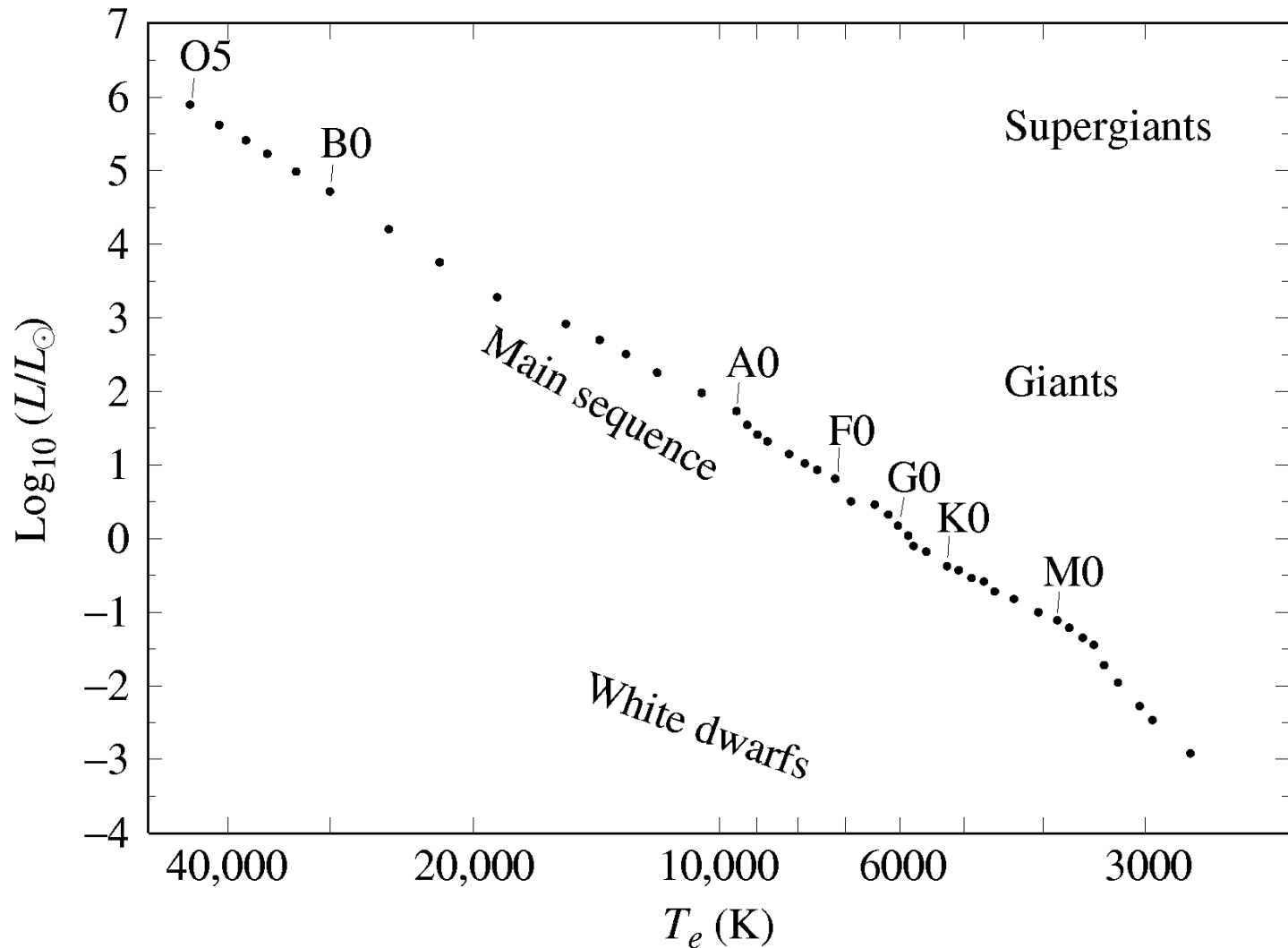
.....

**T (K)**



# Hertzsprung-Russell (H-R) Diagram

- Luminosity and Temperature rather than Magnitude and Color Index

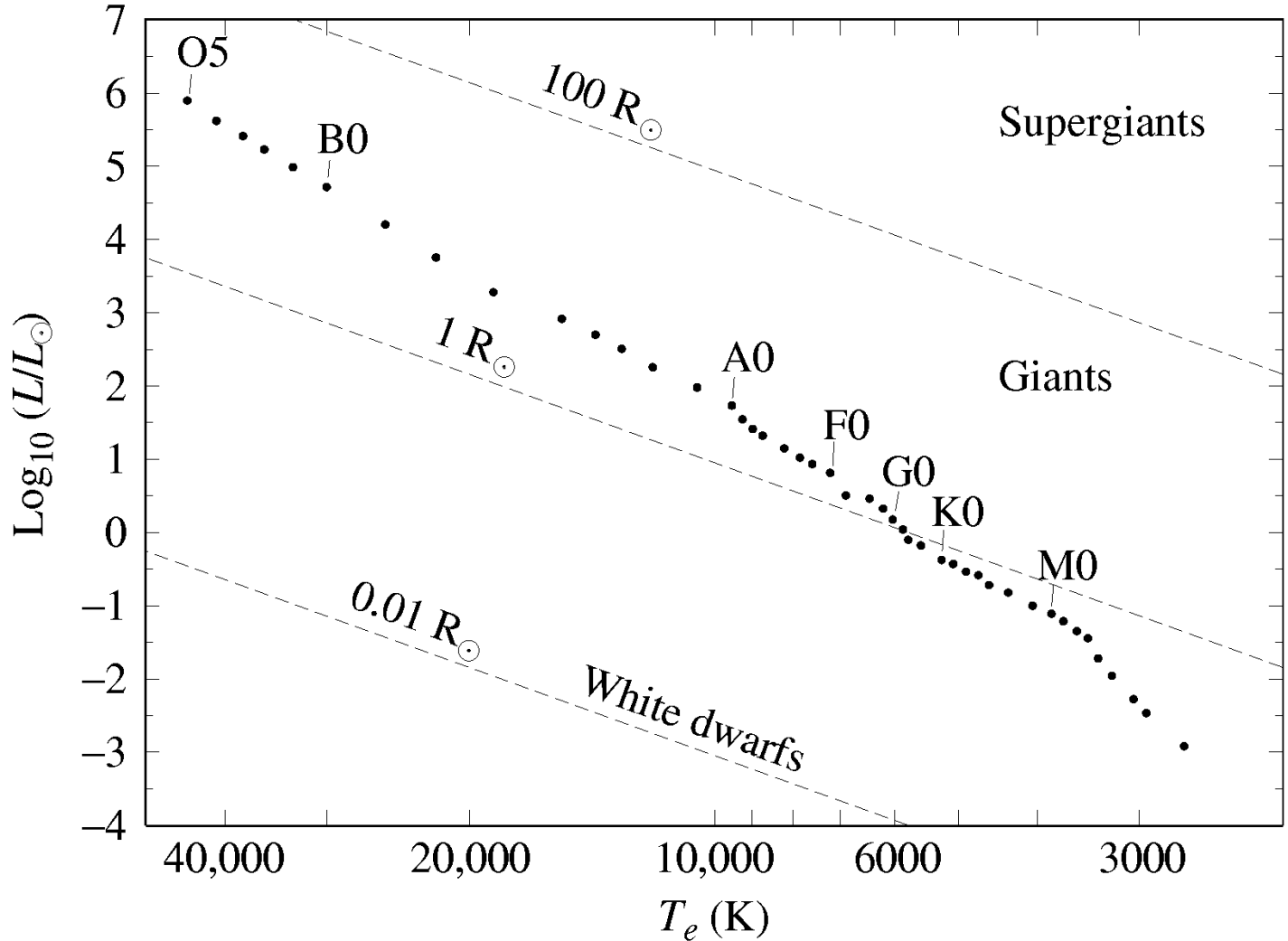


The “theorists H-R Diagram”. Nuclear processes vary along MS.

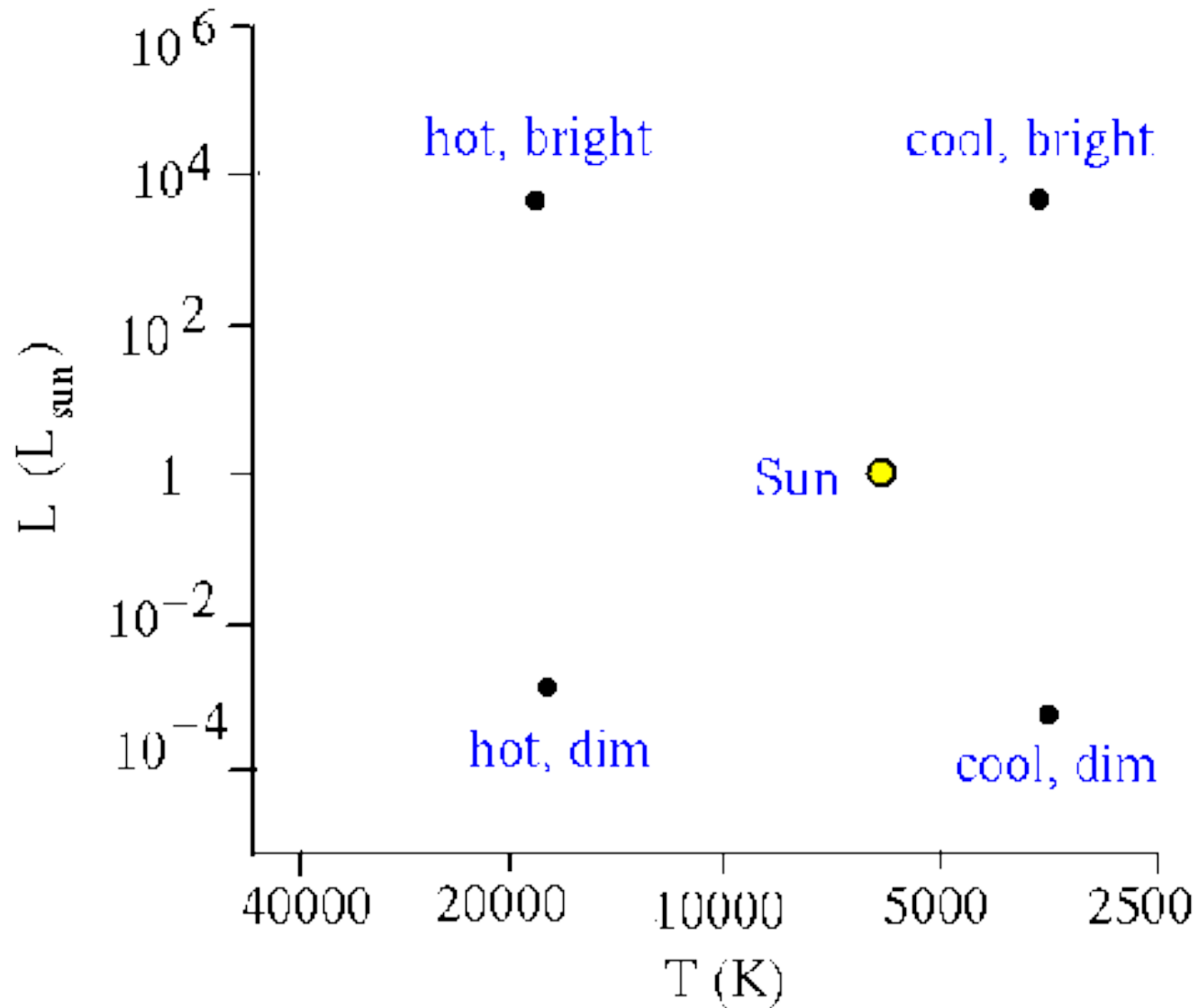
# Hertzsprung-Russell (H-R) Diagram

- Star Radius

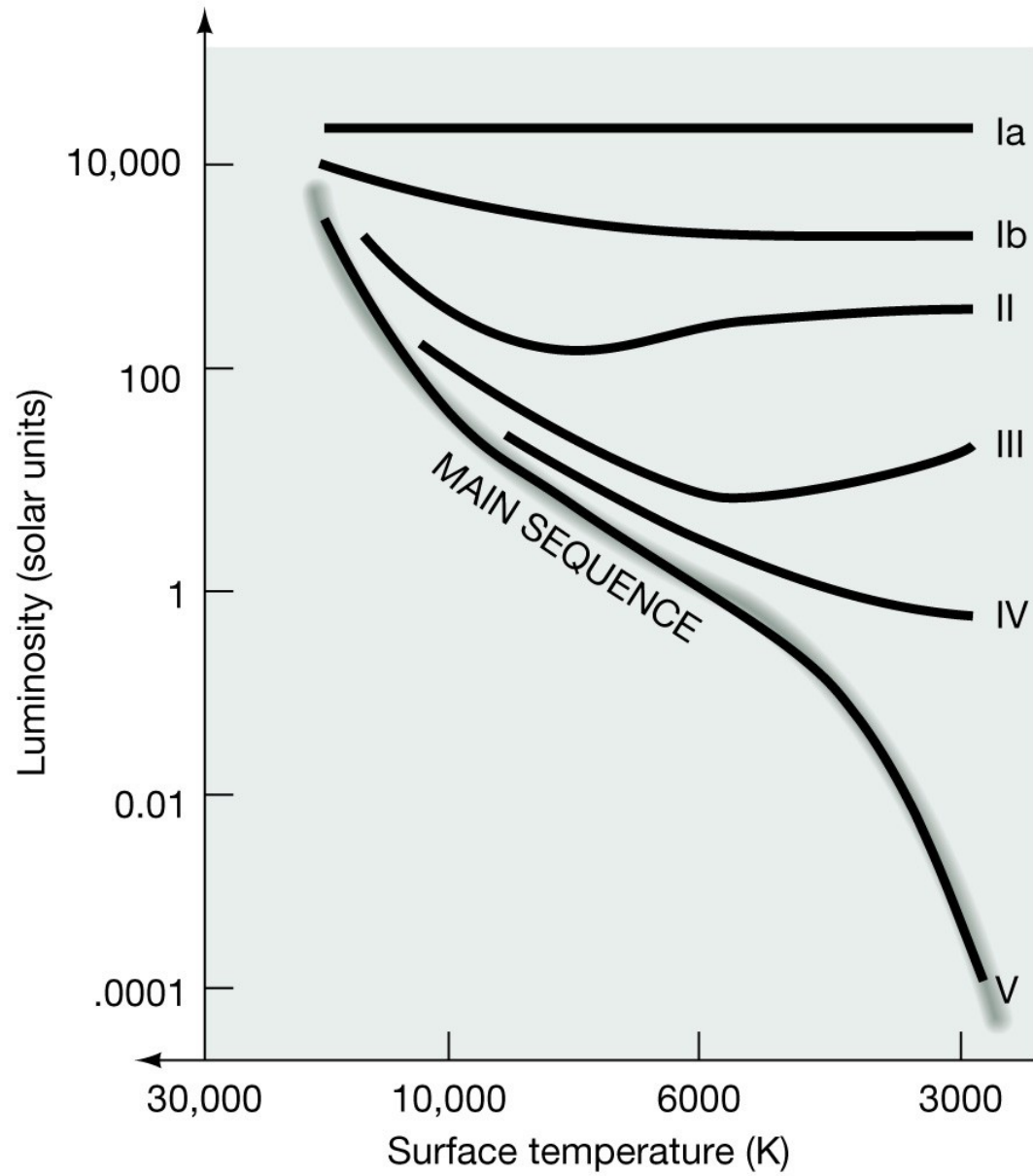
$$R = \frac{1}{T^2} \sqrt{\frac{L}{4\pi\sigma}}$$



# Hertzsprung-Russell (H-R) Diagram



# Luminosity Classes



Spectral classification



## Stellar Luminosity Classes

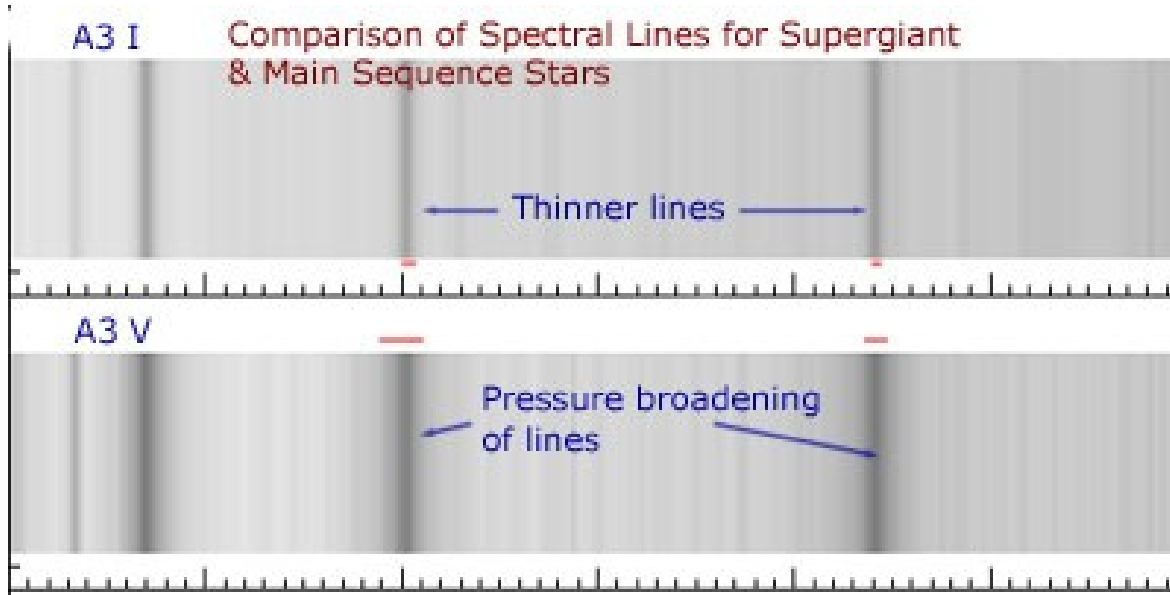
**TABLE 17.3 Stellar Luminosity Classes**

| <b>Class</b> | <b>Description</b>             |
|--------------|--------------------------------|
| Ia           | Bright supergiants             |
| Ib           | Supergiants                    |
| II           | Bright giants                  |
| III          | Giants                         |
| IV           | Subgiants                      |
| V            | Main-sequence stars and dwarfs |

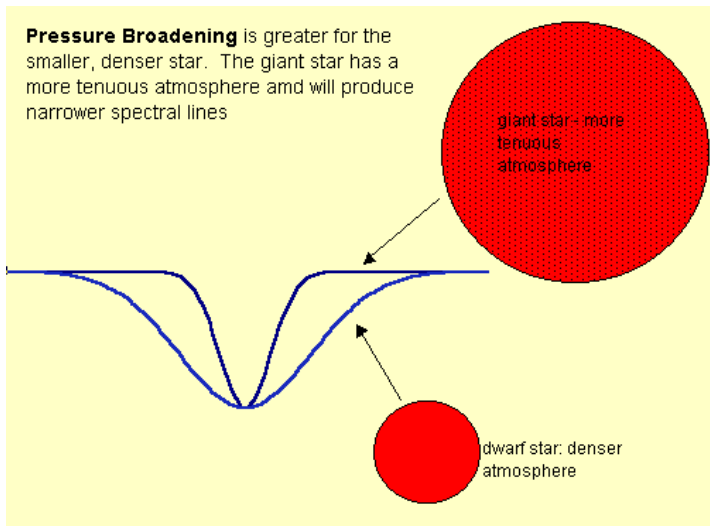
Copyright © 2005 Pearson Prentice Hall, Inc.

Some define VI and wd (or D)

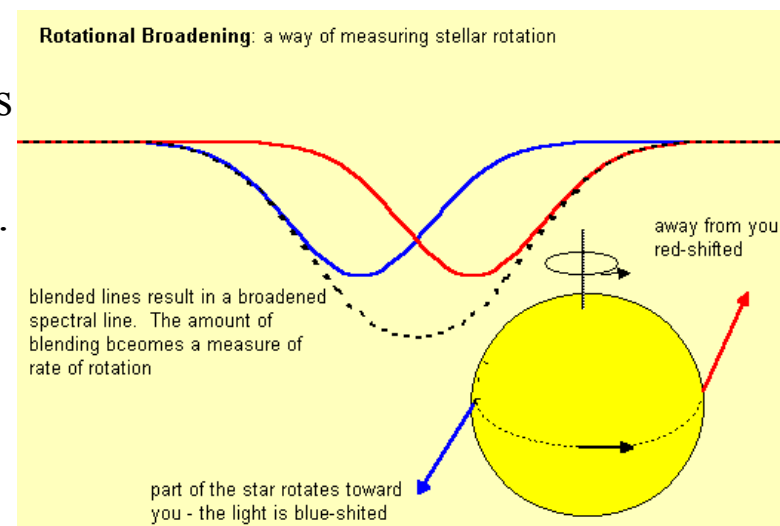
# Luminosity classes can be discerned by line widths.



Courtesy ANU.

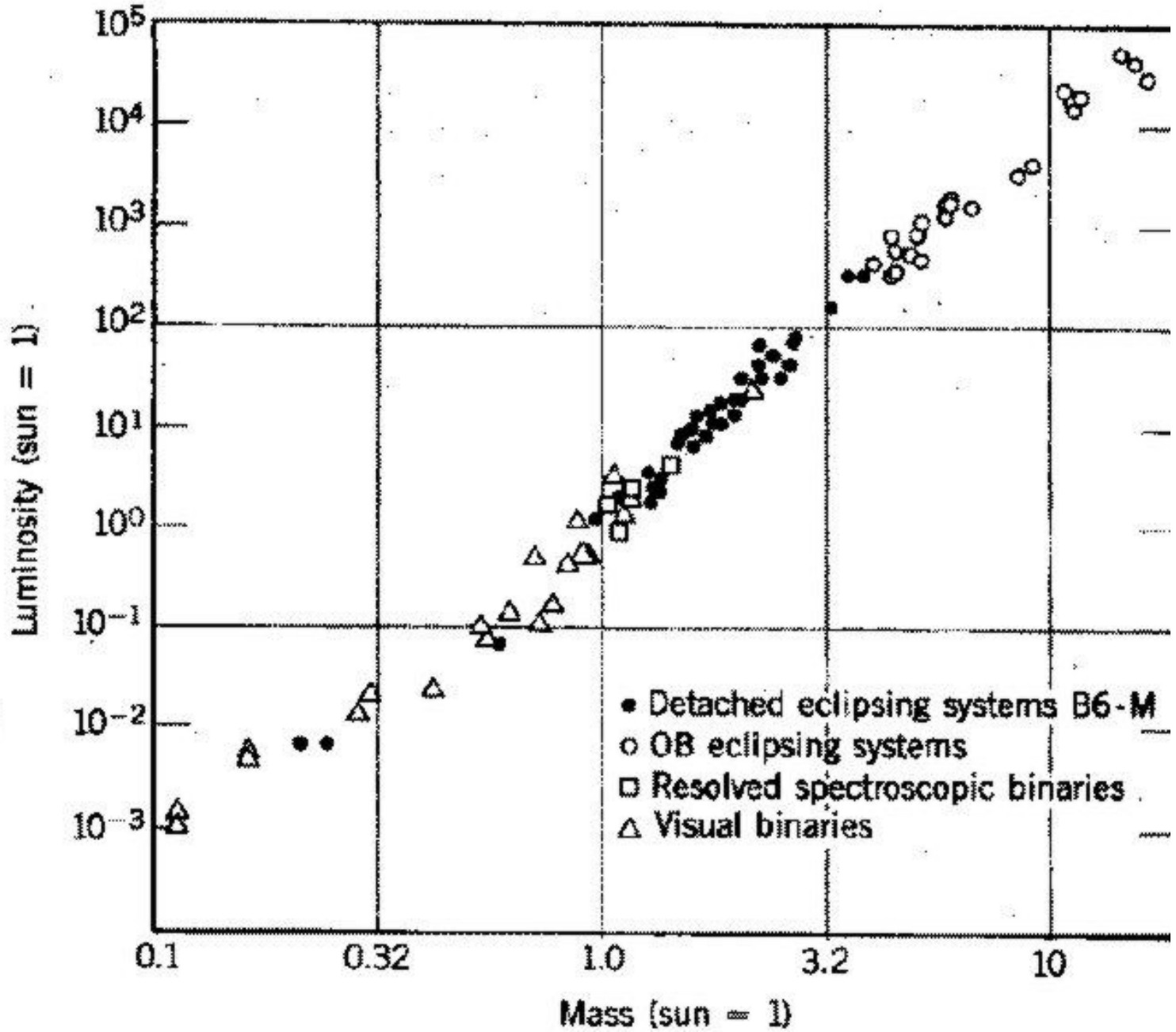


However,  
Other processes  
Can  
Broaden lines...



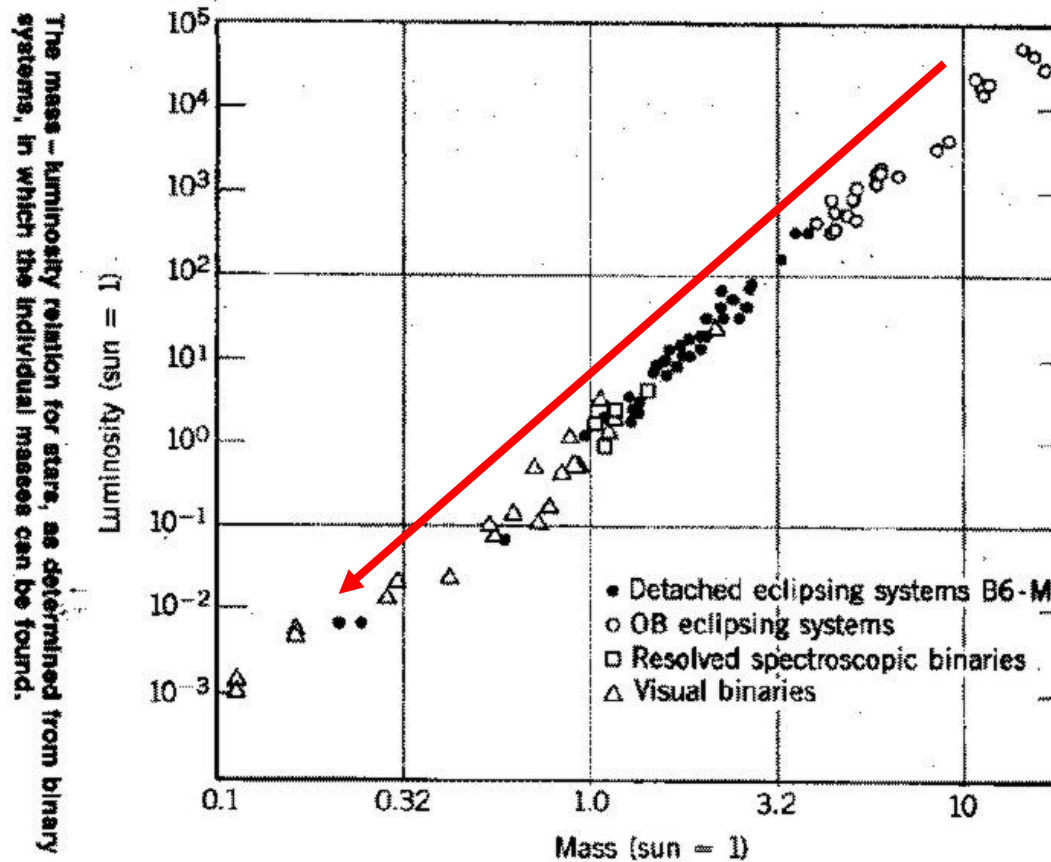
# Mass-Luminosity Relation from Binary Systems

The mass - luminosity relation for stars, as determined from binary systems, in which the individual masses can be found.



# Mass-Luminosity Relation

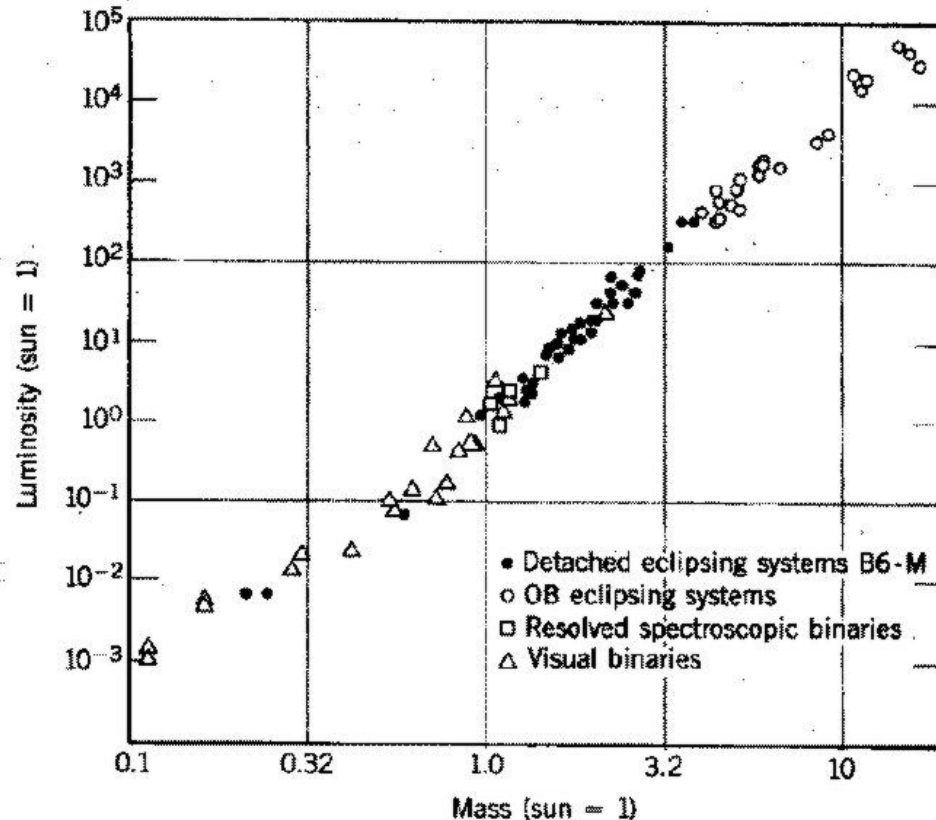
- Early theories had “early” O-type (bright, hot, massive) stars evolving to “old” M-type stars (dim, cool, less massive)



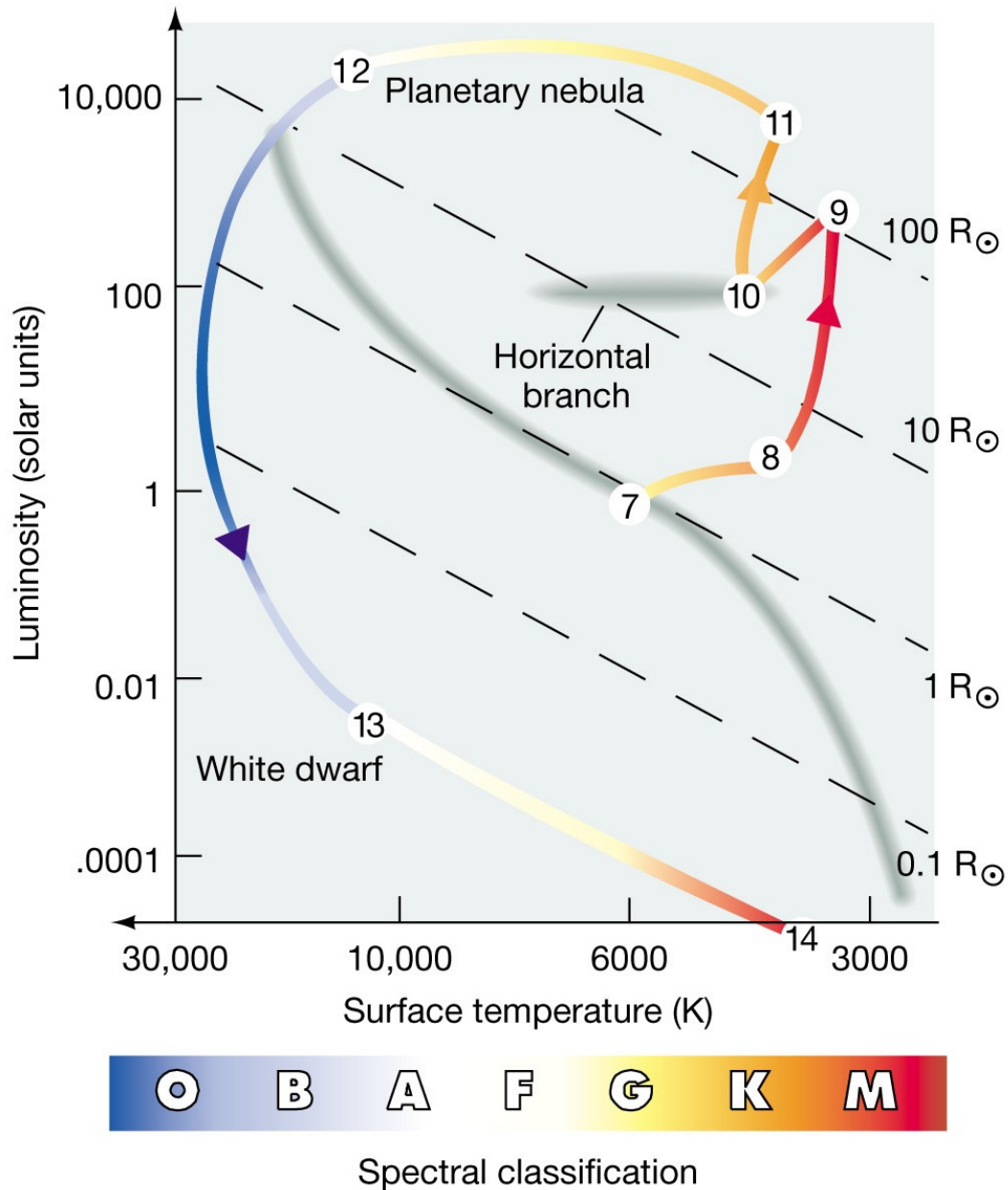
# Mass-Luminosity Relation

- Luminosity (power output) comes from nuclear fusion at the core of the stars.
- L increases dramatically with M:  $L \sim M^{3.5}$  (from M-L relation)
- From this, we can derive a lifetime for stars on the Main Seq.:
  - Lifetime = Fuel/(Rate of burning fuel)
  - Lifetime =  $M/L$
  - Lifetime =  $M/M^{3.5} = M^{-2.5}$
- Actually,  $L \sim M^4$  for  $M > 1$ , so
- Lifetime  $\sim M^{-3}$

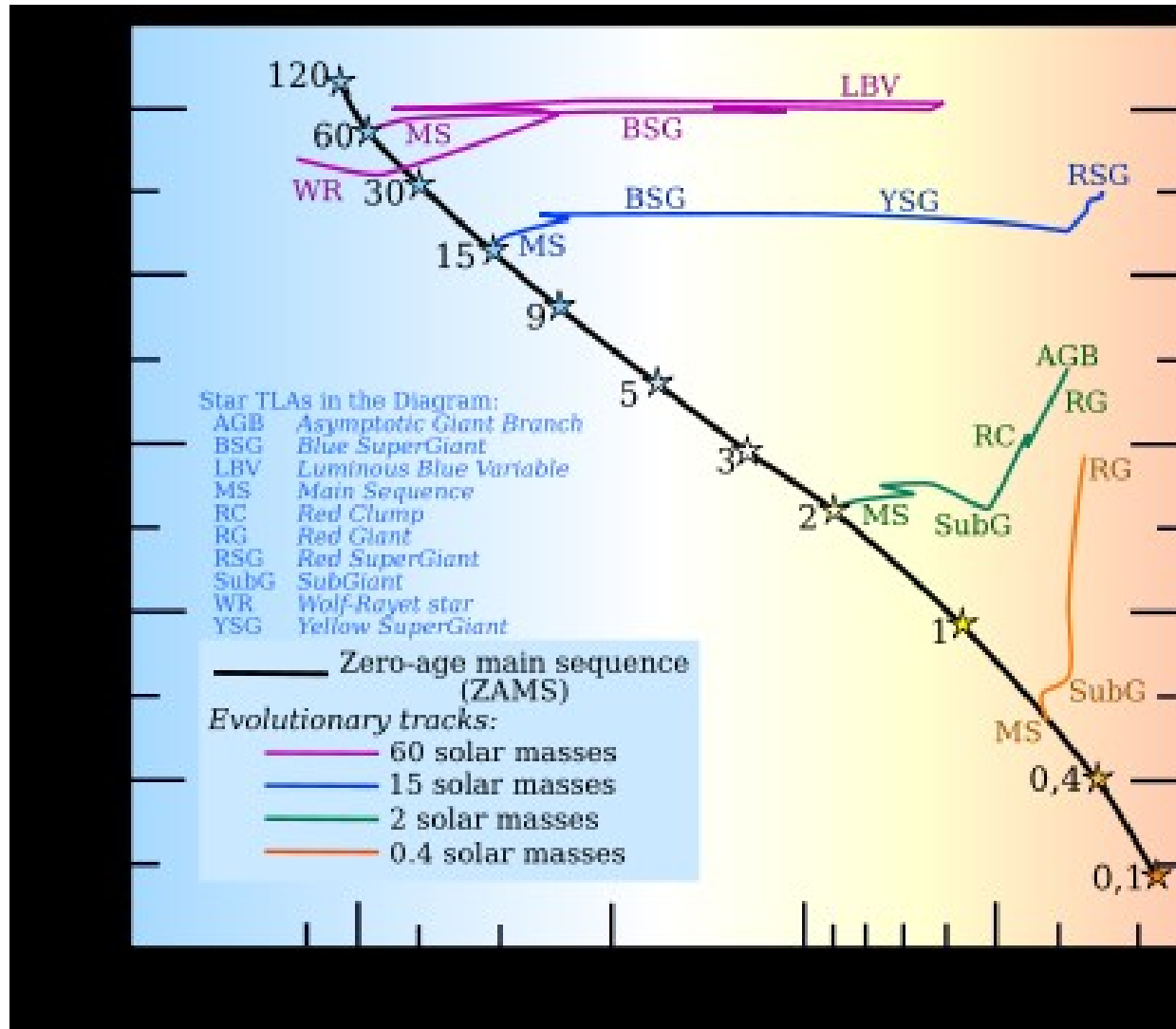
The mass - luminosity relation for stars, as determined from binary systems in which the individual masses can be found.



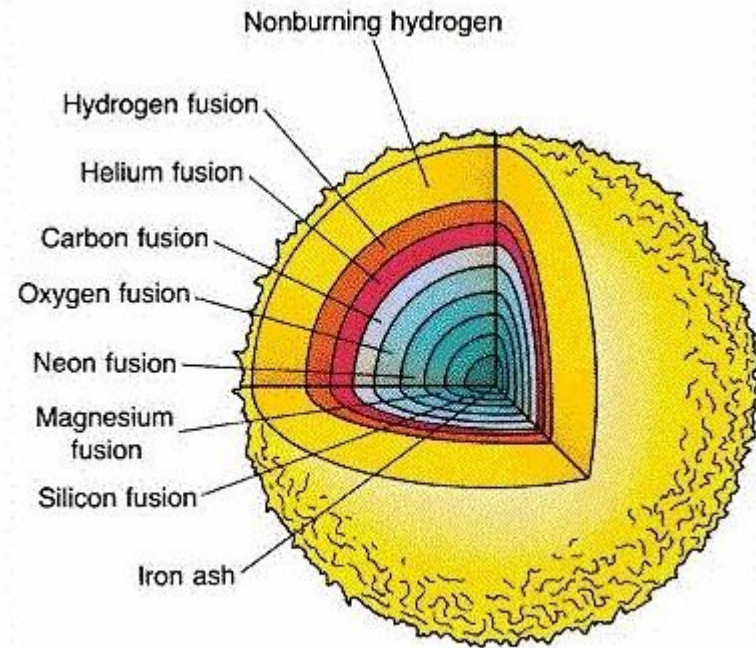
# Evolution of 1 M<sub>⊙</sub> star.



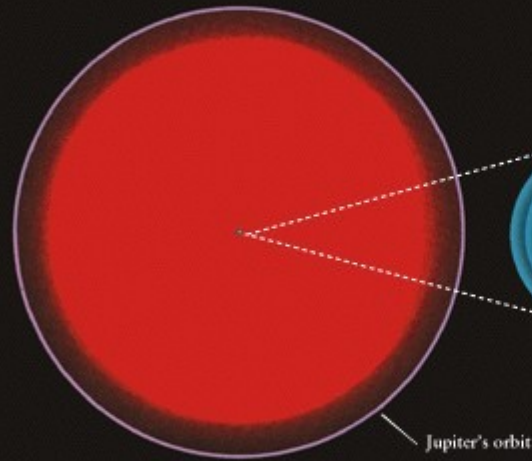
# Evolutionary tracks.



# Massive star fusion



1500 million km



Hydrogen-burning shell  
Helium-burning shell  
Carbon-burning shell  
Neon-burning shell  
Oxygen-burning shell  
Silicon-burning shell  
Iron core

Jupiter's orbit



# HR Diagrams of star clusters.

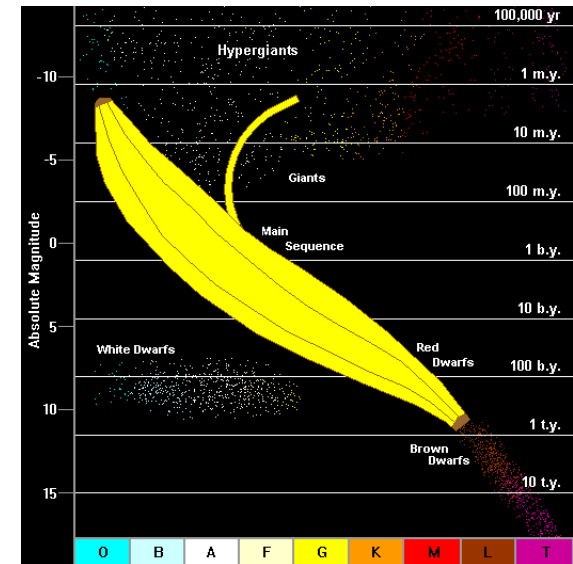
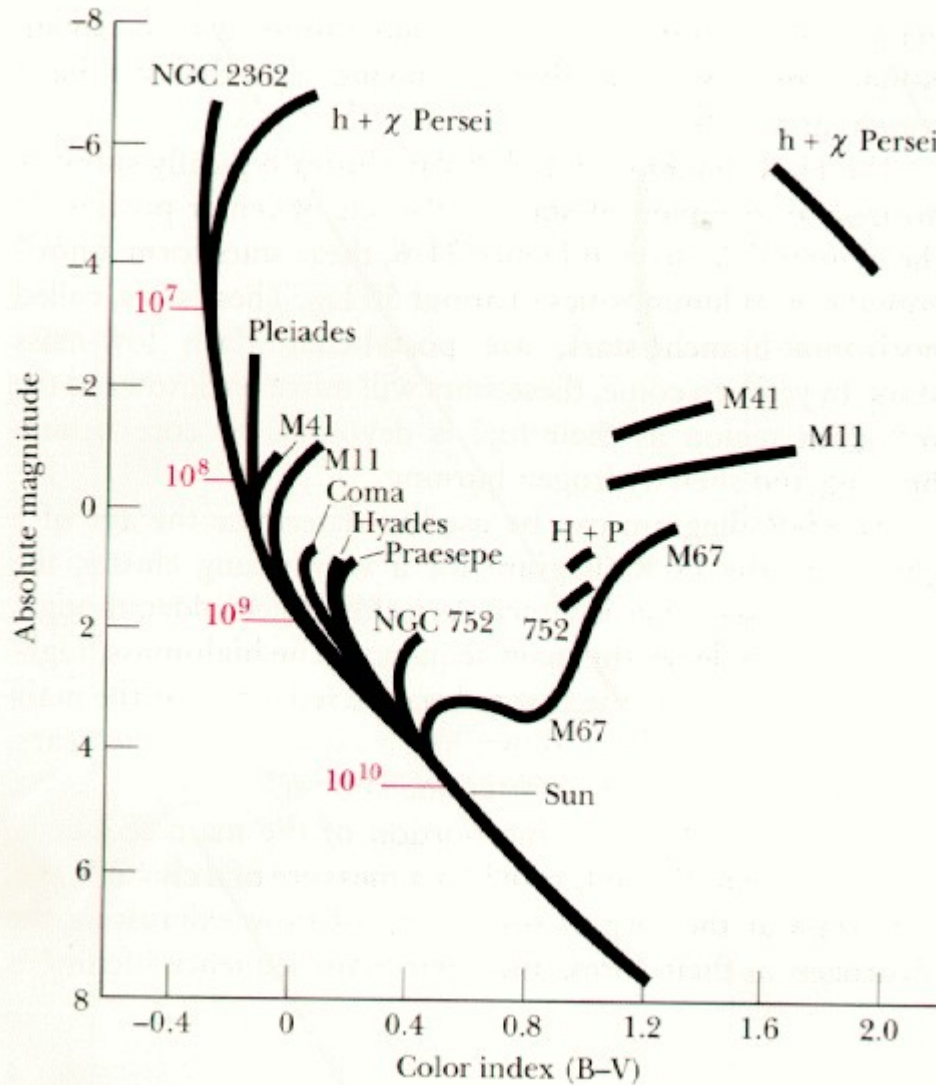
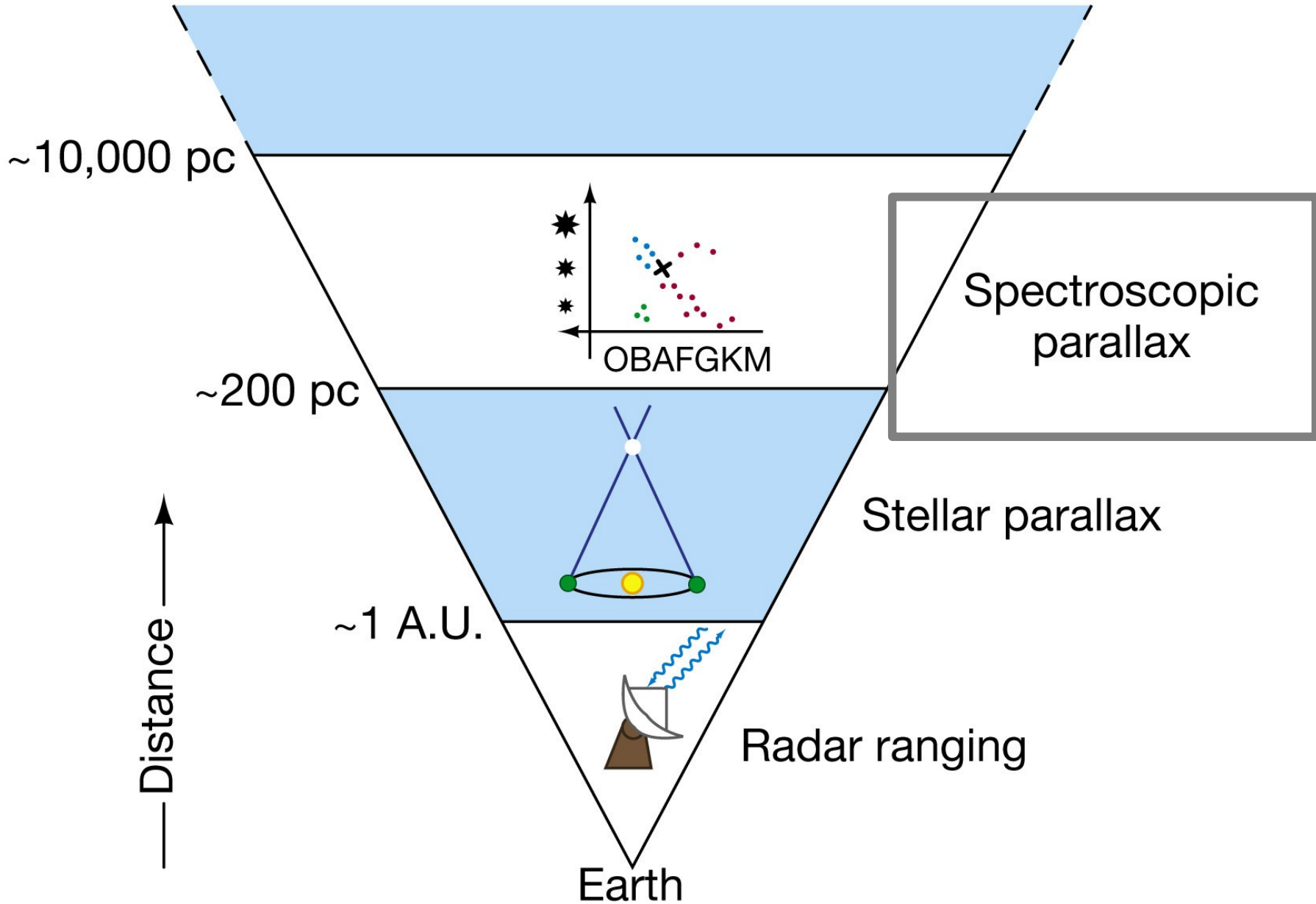


Figure 17-17  
Stellar Distances



# Spectroscopic “Parallax”

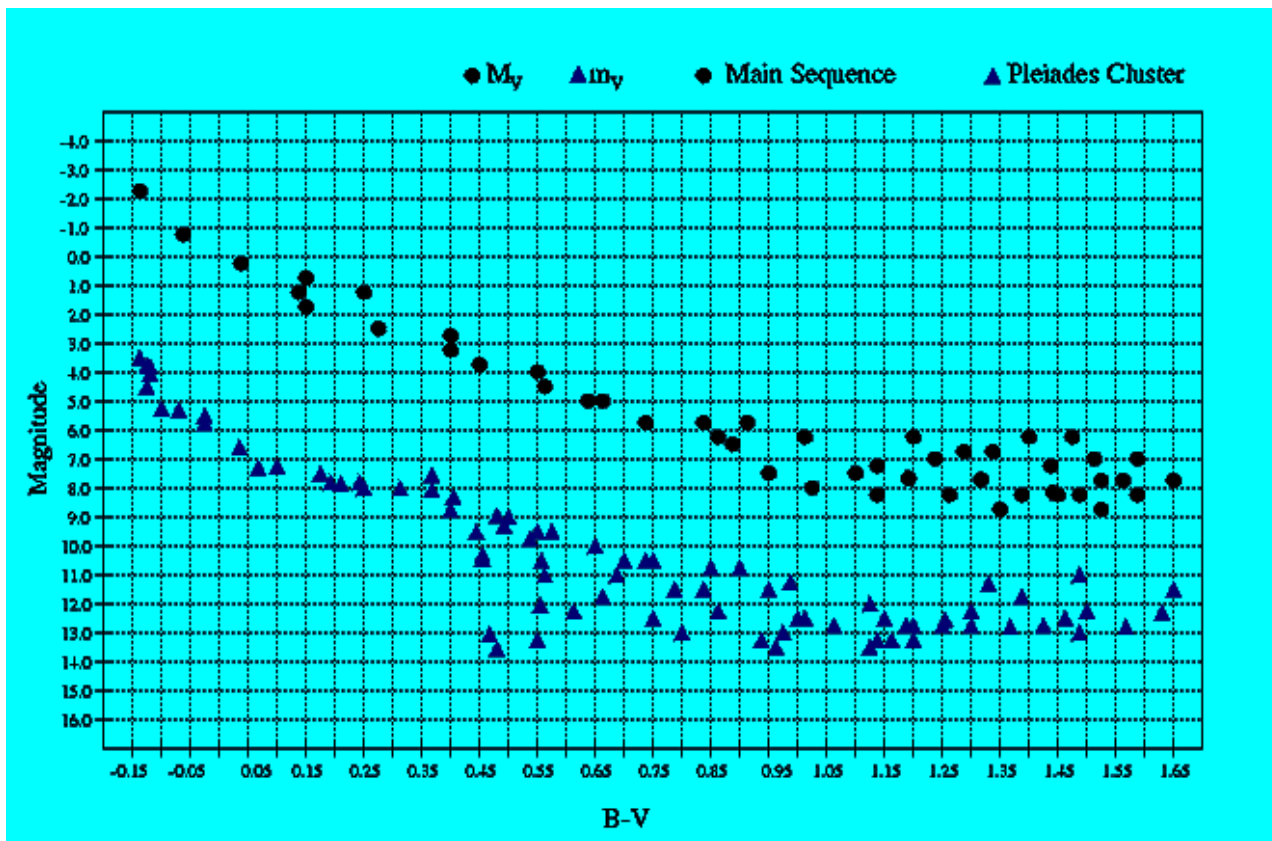
- Method to determine a stars distance
  - Determine the spectral class and luminosity class.
  - Measure apparent magnitude.
    - Correct for crowding
    - Correct for extinction
  - Read the absolute magnitude from the H-R diagram
  - Compare to apparent magnitude to determine distance:  $d=10^{(m-M-A+5)/5}$

# Stellar and Spectroscopic Parallax

Stellar Parallax works out to 200pc (ground), 1000 pc (Hipparcos)

Spectroscopic Parallax works for stars for which a good spectrum can be observed (about 8 kpc), but ...

- Not precise for individual stars, especially giants
- Entire clusters of stars works better! (“main-sequence fitting”)



$$m-M=5\log(d/10)$$

Spec Parallax assumes, for example, that all A0V stars have the same  $M$ . That makes A0V stars “standard candles”.